



## The Evil that is Rooting

Michael Creutz

Brookhaven National Laboratory

- Are rooted staggered quarks a sensible approach to lattice QCD?

Disagreement boils down to:

“ugly”

or

“absurd”



There are a thousand hacking at the branches of evil to one who is striking at the root -- Thoreau



## Outline

- review chiral behavior of 3 flavor QCD
- what rooted staggered quarks get wrong
- what are rooted staggered quarks
- why they get it wrong
- advocated escape: lots of wrong things might all cancel out
  - non-unitary, non-local, unproven

## Three flavor QCD

- up, down, strange quarks
- fields  $\psi^u, \psi^d, \psi^s$
- masses  $m_u, m_d, m_s$

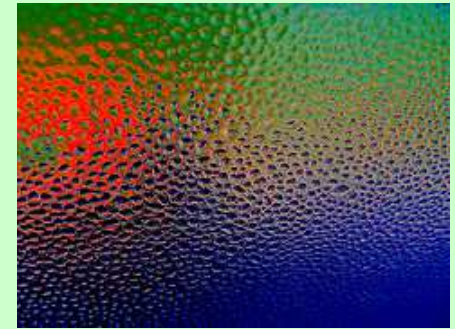


## Arguments based on analyticity in the mass parameters

- rooting introduces singularities where none expected

Theory has a quark condensate  $\langle \bar{\psi}\psi \rangle \neq 0$

- $\langle \bar{\psi}_L^a \psi_R^b \rangle = v \Sigma^{ab}$   $a, b$  “flavor” indices
- $\Sigma \in SU(3)$
- $SU(3) \times SU(3)$  chiral symmetry
  - $\Sigma \rightarrow g_L \Sigma g_R^\dagger$   $g_L, g_R \in SU(3)$
  - spontaneously broken
- Non-perturbative



Jeff Stevens

Pseudoscalar mesons are small fluctuations about the condensate

- $\Sigma \sim \Sigma_0 e^{i\lambda \cdot \pi(x)/f_\pi}$

## Low energy physics modeled by effective Lagrangian

- $L = \frac{f_\pi^2}{4} \text{Tr}(\partial_\mu \Sigma^\dagger \partial_\mu \Sigma) - v \text{Re Tr}(\Sigma M)$

- mass matrix  $M = \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_d & 0 \\ 0 & 0 & m_s \end{pmatrix}$

- explicitly breaks  $SU(3) \times SU(3)$  symmetry
- selects physical vacuum  $\Sigma_0$
- Goldstone boson masses  $M_\pi^2 \sim m_q$



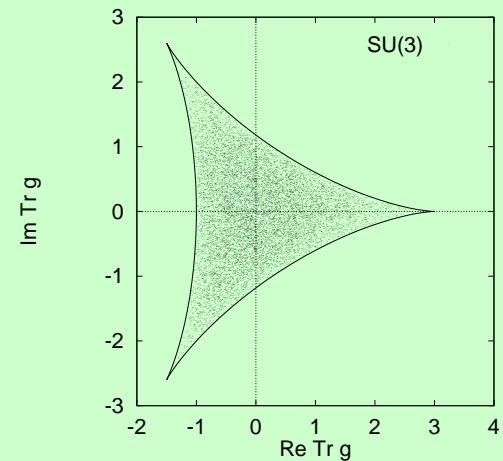
Vacuum  $\Sigma_0$  maximizes  $\text{Re Tr } M\Sigma$

- for real masses this is
  - sometimes unique;  $\Sigma_0$  real
  - sometimes doubly degenerate

- $M \propto -I \quad \Rightarrow \quad \Sigma_0 = e^{\pm 2\pi i/3}$

- complex vacuum signals spontaneous CP violation

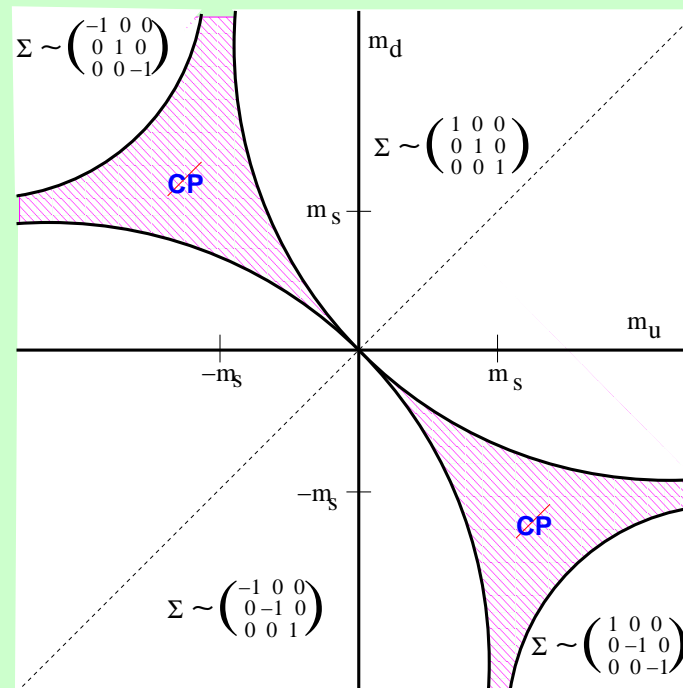
- $\langle \pi_0 \rangle \neq 0$



10,000 random SU(3) matrices

When I'm working on a problem, I never think about beauty. I think only how to solve the problem. But when I have finished, if the solution is not beautiful, I know it is wrong. -- R. Buckminster Fuller

Fix  $m_s > 0$ , vary  $m_u$  and  $m_d$

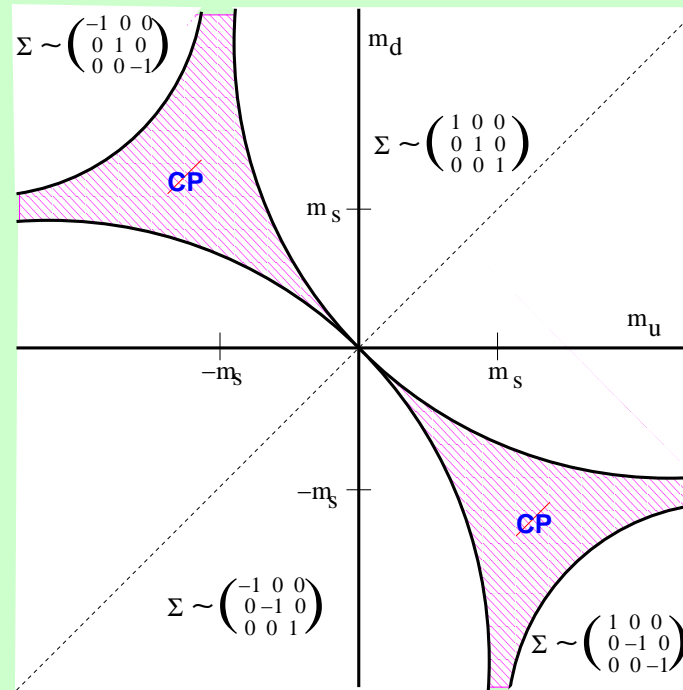


Phase boundaries at  $m_u m_d \pm m_u m_s \pm m_d m_s = 0$

- where  $\pi_0$  mass vanishes

Optimism, n. The doctrine or belief that everything is beautiful, including what is ugly. -- Ambrose Bierce, The Devil's Dictionary





No structure along  $m_d$  axis away from origin

- phase transition line shifted away from axis
- vacuum stabilized by other quarks
- $m_{\pi_0}^2 \sim \frac{m_u + m_d}{2} + O(m_q^2)$

The devil hath power to assume a pleasing shape. -- William Shakespeare

Keeping mass term diagonal, physics remains invariant under

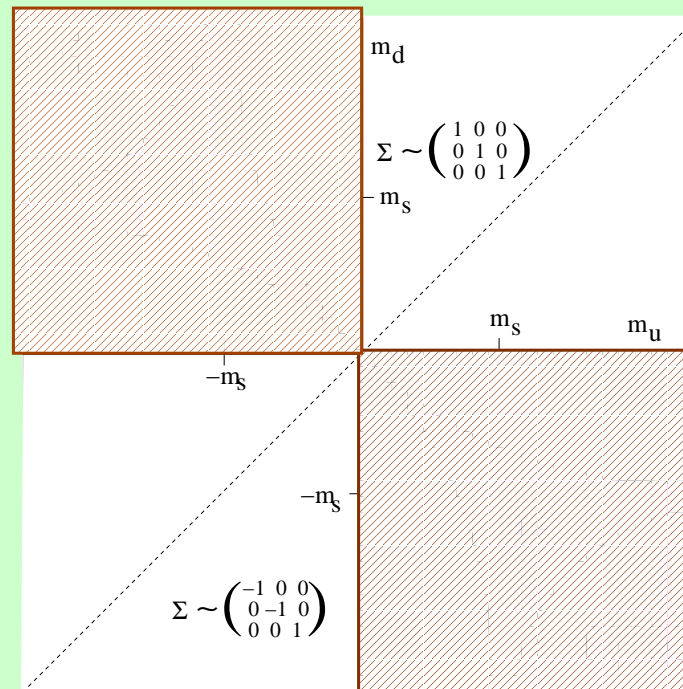
- $M \rightarrow e^{i\theta_3 \lambda_3 + i\theta_8 \lambda_8} M$
- $SU(3)$  has rank 2
- 2 neutral non-strange Goldstone bosons:  $\pi_0, \eta$ 
  - $m_P^2 \sim m_q$

Anomaly: not invariant under  $U(1)$  rotation  $M \rightarrow e^{i\theta} M$

- rotation changes the strong CP angle
- $\eta'$  mass of order  $\Lambda_{qcd}$ 
  - does not vanish with  $m_q$
  - non-perturbative



## Rooted staggered fermions at finite $a$ qualitatively different

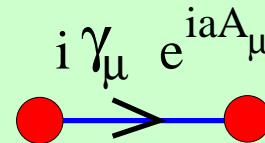


- massless state along  $m_d$  axis
- blocks continuation to regions with CP violation
- **three** neutral non-strange Goldstone bosons, not **two**
- independent symmetry under complex rotation of any quark mass

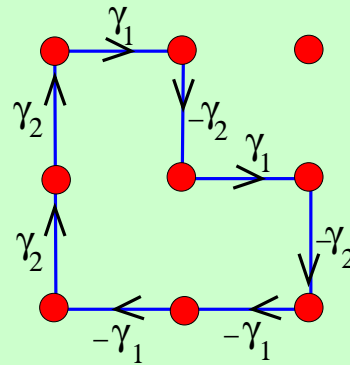
## What are staggered fermions?

- start with naive fermions,  $\gamma_\mu$  for each hop in direction  $\mu$

- $\gamma_\mu \mathcal{P}_\mu \rightarrow \gamma_\mu \frac{\sin(ap_\mu)}{a}$



- poles whenever components of momentum are 0 or  $\pi/a$ 
  - 16 “doublers”
  - different chiralities since  $\frac{d}{dp} \sin(p)|_{p=\pi} = -1$
- exact naive chiral symmetry maintained
  - actually a flavored symmetry of the doublers



In a closed fermion loop

- each factor of  $\gamma_\mu$  appears an even number of times
  - product proportional to the identity
  - four spinor components of  $\psi$  are independent
- exact  $SU(4)$  symmetry Karsten and Smit (1981)

I like nonsense, it wakes up the brain cells. Fantasy is a necessary ingredient in living, It's a way of looking at life through the wrong end of a telescope. Which is what I do, And that enables you to laugh at life's realities. -- Dr. Seuss

Staggered fermions project out one component per site  $\psi \rightarrow P\psi$

$$P = \frac{1}{4} \left( 1 + i\gamma_1\gamma_2(-1)^{x_1+x_2} + i\gamma_3\gamma_4(-1)^{x_3+x_4} + \gamma_5(-1)^{x_1+x_2+x_3+x_4} \right)$$

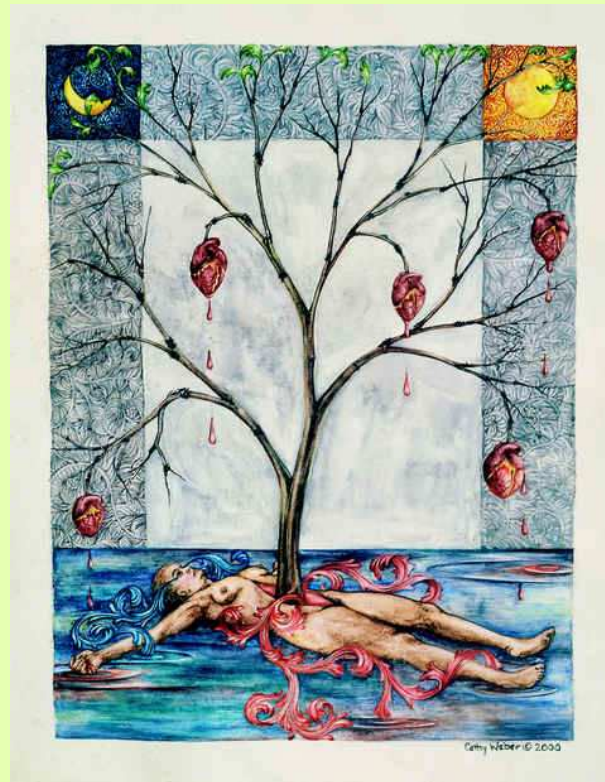
- reduces 16 doublers to 4
- still have exact chiral symmetry  $m \rightarrow e^{i\theta\gamma_5}m$
- OK: still a flavored symmetry among the doublers



Beware of hidden tastes

## The root of all evil

- replace fermion determinant  $|D|$  with  $|D|^{1/4}$
- hope to reduce effect of four doublers to one
  - **BUT: maintains the exact  $U(1)$  chiral symmetry**



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Storms make trees take deeper roots. -- Dolly Parton

## Three flavor QCD

- use rooting for each flavor
- exact  $U(1)$  chiral symmetry for each flavor
- three commuting symmetries
- three neutral Goldstone bosons, not two
- incorrect theta dependence





## Technical essence

### 't Hooft vertex

- $N_f$  flavors give  $2N_f$ -fermion effective interaction
  - non-perturbative
  - related to gauge field topology
  - represents the anomaly
- 4 flavors: an octa-linear interaction  $\sim (\bar{\psi}\psi)^4$ 
  - chiral symmetry OK since two tastes of each chirality
- 1 flavor: bi-linear interaction  $\sim \bar{\psi}\psi$ 
  - effective mass shift
  - breaks all chiral symmetry

### Rooting cannot generate the correct vertex

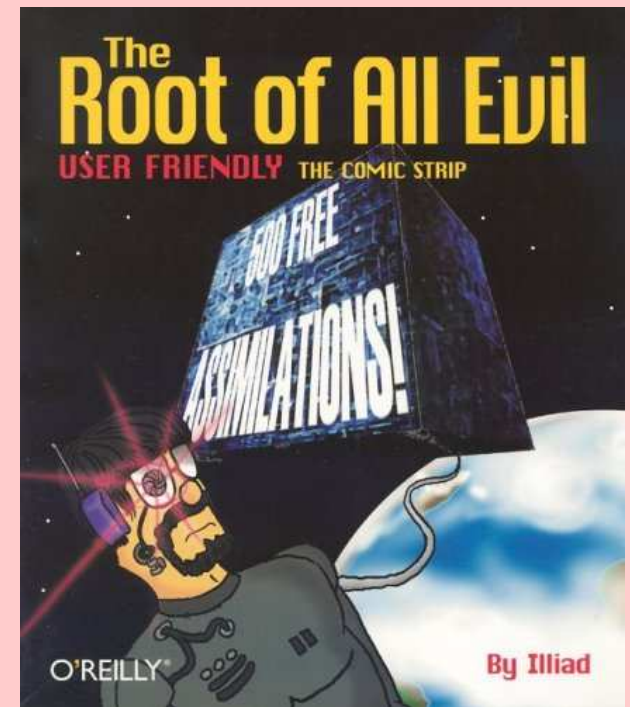
- forbidden by the exact symmetry

## Proposed “escape”

- unrooted theory 4 “tastes” per flavor
  - 12 quarks overall
  - 144 pseudoscalar mesons
  - 48 neutral, non-strange
- at finite  $a$  these might survive rooting

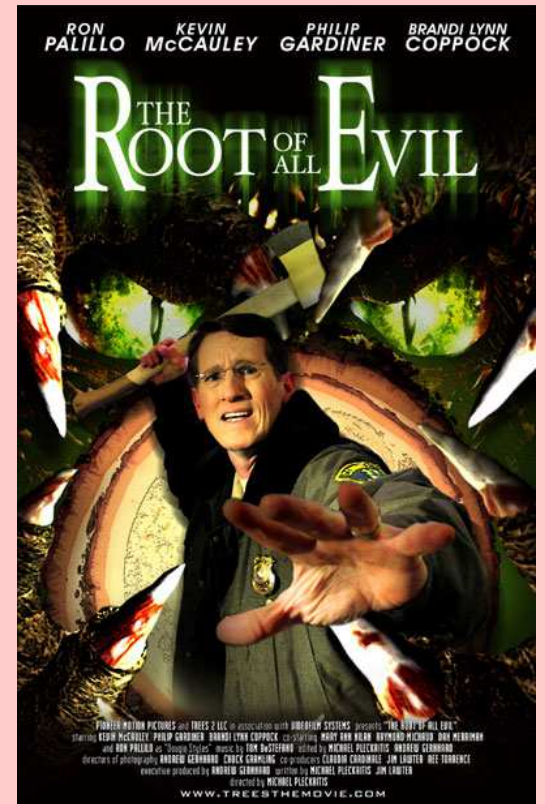
## Conjecture:

- the extra states cancel in continuum limit
  - including the extra Goldstone boson
- theta recovered by reweighting
  - use overlap for topology



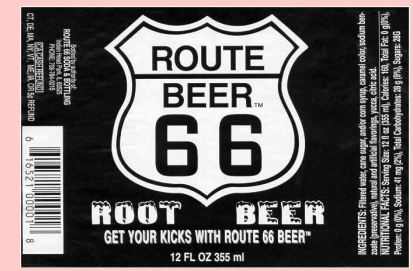
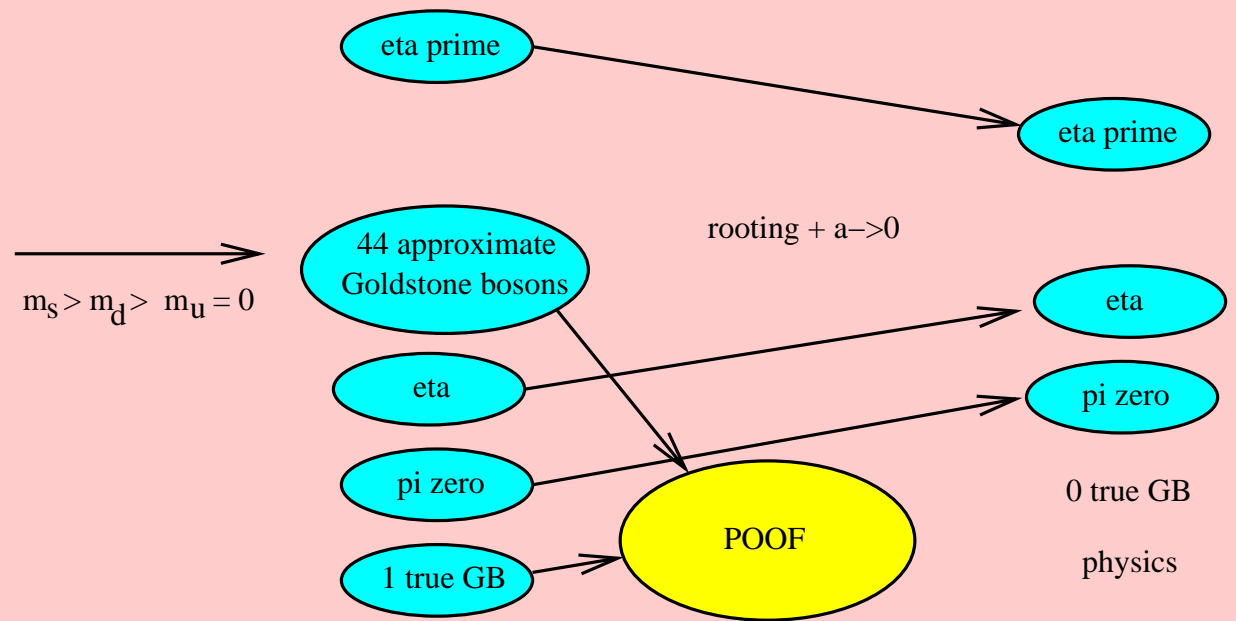
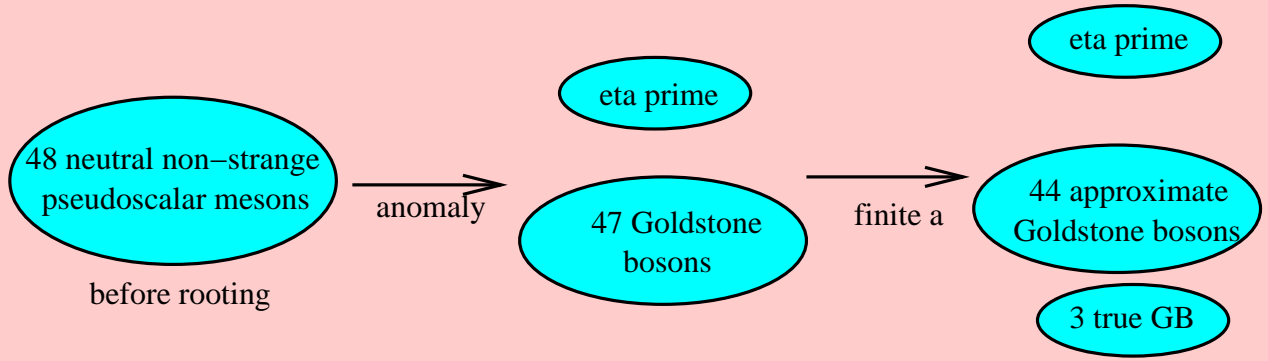
Consider composite propagator  $\langle \bar{u}\gamma_5 u(x) \bar{u}\gamma_5 u(y) \rangle$

- couples to all 48 neutral non-strange mesons
- expect 48 poles
  - including the extra Goldstone boson
- rooting might give some negative residues
  - conjecture 45 poles cancel
- only  $\pi_0, \eta, \eta'$  should survive



There is no expedient to which a man will not go to avoid the labor of thinking. -- Thomas A. Edison

# The proposed rooting route



Philosophy, n. A route of many roads leading from nowhere to nothing. -- Ambrose Bierce

A symmetry for any finite  $a$  that disappears for  $a = 0$ ?

- usually we want to restore symmetries as  $a \rightarrow 0$

Not unitary:

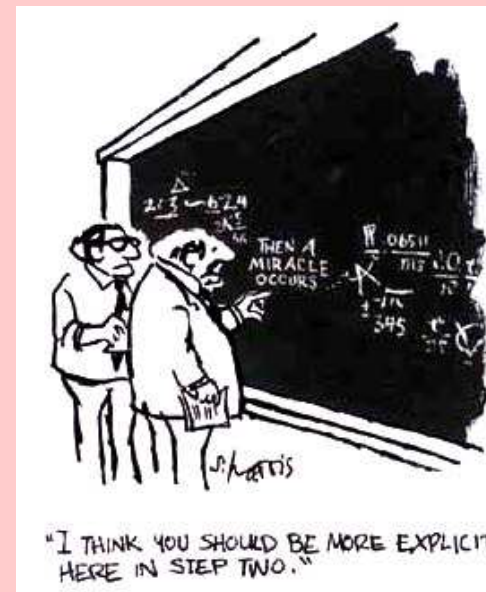
- some production cross sections must be negative

Not local at finite  $a$ :

- extra Goldstone boson

Unproven

- “trust us”
- intelligent design?



Issues absent with Wilson, domain wall, overlap

Even if OK,  $3 \ll 48$ : huge lattice artifacts expected in singlet sector

“Ugly?”

“Absurd?”

“Obscene?”



Ginseng on a billboard in Shanghai

Maybe a movie?

