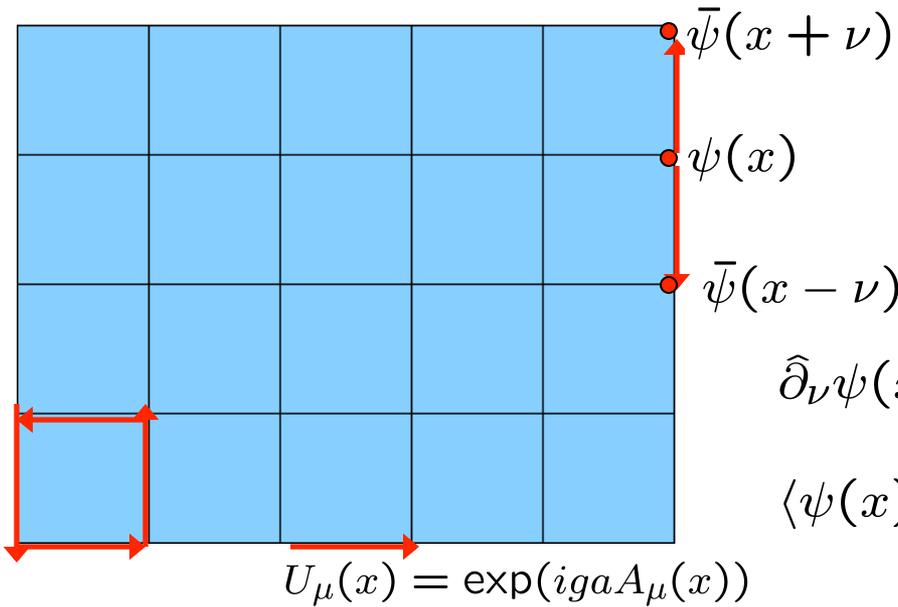


Lecture 3: basics of lattice QCD

- Scalar fields on the lattice
- Lattice regularization and gauge symmetry : Wilson gauge action, fermion doubling
- Different fermion formulations
- Meson correlation function and Wilson loops
- Scale setting, continuum limit and lines of constant physics (LCP)

Quarks and gluon fields on a lattice



$$\Rightarrow \bar{\psi} \gamma_\nu D_\nu \psi$$

$g = 0:$

$$\hat{\partial}_\nu \psi(x) = \frac{1}{2} [\psi(x + \nu) - \psi(x - \nu)]$$

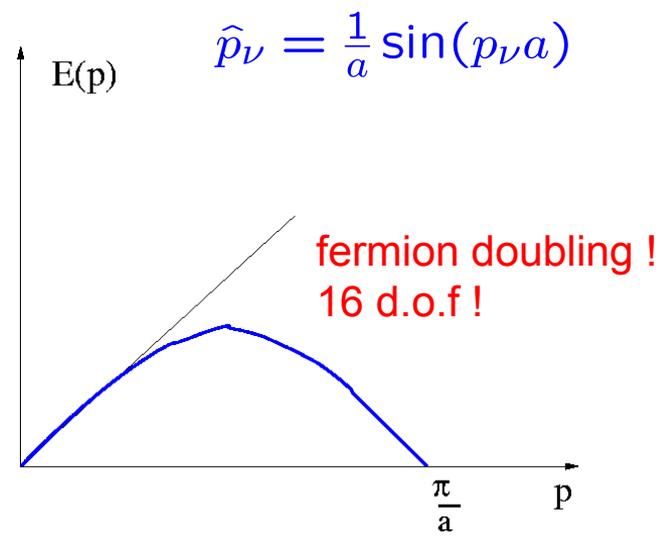
$$\langle \psi(x) \bar{\psi}(x) \rangle = \int_{-\pi/a}^{\pi/a} \frac{d^4 p}{(2\pi)^4} \frac{-i \sum_\nu \gamma_\nu \hat{p}_\nu + m}{\sum_\nu \hat{p}_\nu^2 + m^2}$$

$$U_\mu(x) \simeq 1 + igaA_\mu(x)$$

$$U_P(x) = U_{\mu\nu}(x) = S_{\mu\nu}^{1 \times 1}(x) = U_\mu(x) U_\nu(x + \mu) U_\mu^\dagger(x + \mu + \nu) U_\nu^\dagger(x)$$

$$S_{Wilson} = \beta \sum_x \left(1 - \frac{1}{3} \text{Re tr} U_P(x) \right), \quad \beta = \frac{6}{g^2}$$

$$S_{Wilson}|_{a \rightarrow 0} = \int d^4 x \text{tr} F_{\mu\nu}^2$$



Wilson fermions

$$S_f^W = \int_x \left[\bar{\psi} \gamma_\nu D_\nu \psi - a \frac{r}{2} \bar{\psi} \square \psi - m \bar{\psi} \psi \right] \quad \text{Wilson (1975)}$$

$$S_f^W = \int_x \bar{\psi} D^W \psi, \quad \int_x = \sum_x a^4$$

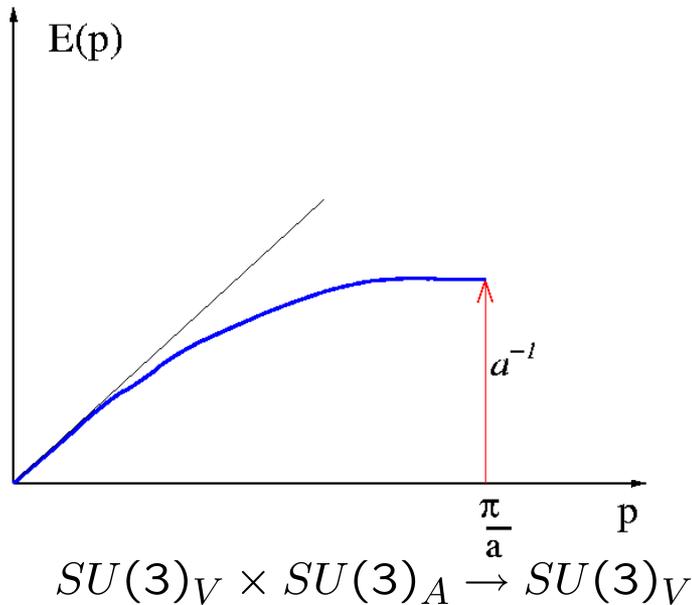
$$D^w(x, y) = \delta_{x,y}(4 + m) + \sum_\mu (1 + \gamma_\mu) \delta_{x+\mu,y} - (1 - \gamma_\mu) \delta_{x-\mu,y}$$

$$\langle \psi(x) \bar{\psi}(x) \rangle =$$

$$\int_{-\pi/a}^{\pi/a} \frac{d^4 p}{(2\pi)^4} \frac{-i \sum_\nu \gamma_\nu \hat{p}_\nu + m'(p)}{\sum_\nu \hat{p}_\nu^2 + m'^2(p)}$$

$$U_\mu(x) \quad U_\mu^\dagger(x)$$

$$m'(p) = m + \frac{2r}{a} \sum_\mu \sin^2\left(\frac{p_\mu a}{2}\right)$$



chiral symmetry is broken even in the massless case !



additive mass renormalization



Wilson Dirac operator is not bounded from below



difficulties in numerical simulations

Discretization errors $\sim a g^2$, used for study of hadron properties, spectral functions

Staggered fermions

$$\psi(x) = T(x)\chi(x), \quad \bar{\psi}(x) = \bar{\chi}T^\dagger(x) \quad \text{Kogut, Susskid (1975)}$$

$$T(x)\gamma_\mu T^\dagger(x + \mu) = 1 \cdot \eta_\mu(x) \quad \eta_\mu(x) = (-1)^{x_1 + \dots + x_{\mu-1}}, \eta_1(x) = 1$$

$$T(x) = \gamma_1^{x_1} \gamma_2^{x_2} \gamma_3^{x_3} \gamma_4^{x_4}$$

$$S_f^{stagg} = \sum_x \sum_\alpha [\eta_\mu(x) \bar{\chi}_\alpha(x) \hat{\partial}_\mu \chi_\alpha(x) + m \bar{\chi}_\alpha(x) \chi_\alpha(x)]$$

↓ omit index α and the sum (16 \rightarrow 4)

$$\sum_{x,y} [\bar{\chi}(x) D_{stagg}(x,y) \chi(y)], \quad D_{stagg}(x,y) = \delta_{x,y} m + \sum_\mu \eta_\mu(x) (\delta_{x+\mu,y} - \delta_{x-\mu,y})$$

different flavors, spin componets sit in different corners of the Brillouin zone or in 2^4 hypercube

$$\sum_{x,y} [\bar{\chi}(x) D(x,y) \chi(y)] \rightarrow \text{4-flavor theory} \quad U(4)_V \times U(4)_A \rightarrow U_{e-o}(1) \subset SU_A(4)$$

$$\chi(x) \rightarrow U_o \chi(x), \quad \bar{\chi}(x) \rightarrow \bar{\chi}(x) U_e^\dagger, \quad \sum_{i=1}^4 x_i \text{ even}$$

$$\chi(x) \rightarrow U_e \chi(x), \quad \bar{\chi}(x) \rightarrow \bar{\chi}(x) U_o^\dagger, \quad \sum_{i=1}^4 x_i \text{ odd}$$

$$\bar{\chi}(x) (\chi(x + \mu) - \chi(x - \mu)) \rightarrow \bar{\chi}(x) U_e^\dagger U_e (\chi(x + \mu) - \chi(x - \mu))$$

$$m \bar{\chi}(x) (\chi(x) \rightarrow m \bar{\chi}(x) U_e^\dagger U_o \chi(x))$$

|| D_{stagg} || $>$ m useful in numerical simulations !

Chiral fermions on the lattice ?

$$S_F = a^4 \sum_{x,y} \bar{\psi}(x) D(x-y) \psi(y)$$

We would like the following properties for the lattice Dirac operator:

1. $D(x)$ should be **local**, i.e. $\|D(x)\| \leq C \exp(-\gamma x)$
2. $D(p) = i \sum_{\mu} \gamma_{\mu} p_{\mu} + O((ap)^2)$ (cubic symmetry)
3. no doubler exist, i.e. $D(p)$ is invertible for $p \neq 0$
4. $\gamma_5 D + D \gamma_5 = 0$ (chiral symmetry)

Nielsen-Ninomiya no-go theorem :

conditions one 1-4 cannot be satisfied simultaneously

Nielsen, Ninomiya (1981)

Wilson fermion formulation gives up 4)

Staggered fermion formulation gives up 3)

Ginsparg-Wilson fermions

$$\gamma_5 D + D \gamma_5 = 0$$



$$\gamma_5 D^{-1} + D^{-1} \gamma_5 = a 2R \gamma_5$$

$$\gamma_5 D + D \gamma_5 = a D 2R \gamma_5 D$$

R local operator ($R = 1/2$ in most of applications)

Ginsparg, Wilson (1982)

- anti-commutation properties are recovered in the continuum limit ($a \rightarrow 0$)
- the r.h.s. of the Ginsparg-Wilson relation is zero for the solutions



mildest way to break the chiral symmetry on the lattice : physical consequences of the chiral symmetry are maintained (e.g. chiral perturbation theory)

Generalized chiral symmetry and topology

$$\psi(x) \rightarrow \psi(x) + \delta\psi(x), \bar{\psi}(x) \rightarrow \bar{\psi}(x) + \delta\bar{\psi}(x)$$

$$\delta\psi_i = iT_{ij}^a \phi^a \gamma_5 (1 - a\frac{1}{2}D)\psi_j(x) \text{ flavor non-singlet}$$

$$\delta\psi_i = \gamma_5 (1 - a\frac{1}{2}D)\psi_i(x) \text{ flavor singlet}$$

$$\delta\bar{\psi}_i = \bar{\psi}_j \gamma_5 (1 - a\frac{1}{2}D) i\phi^a T_{ij}^a \text{ flavor non-singlet}$$

$$\delta\bar{\psi}_i = \bar{\psi}_i \gamma_5 (1 - a\frac{1}{2}D) \text{ flavor singlet}$$

GW relation $\implies \delta(\bar{\psi}D\psi) = 0$ Luescher (1998)

flavor singlet transformation :

$$\delta[d\bar{\psi}d\psi] = \text{Tr}(a\gamma_5 D)[d\bar{\psi}d\psi] = 2N_f(n_- - n_+)[d\bar{\psi}d\psi]$$

$$\text{index}(D) = n_- - n_+$$

$$q(x) = \frac{1}{2}\text{tr}\gamma_5 D(x, x) \text{ -topological charge density}$$

Hasenfratz, Laliena, Niedermeyer (1998)

for flavor non-singlet transformation $\text{Tr}(a\gamma_5 DT^a) = 0$ no anomaly !

Constructing chiral fermion action I

Overlap fermions :

$$A = 1 - aD_w$$
$$D = \frac{1}{a} \left(1 - \frac{A}{\sqrt{AA^\dagger}} \right) \quad \text{Neuberger (1998)}$$

using $\gamma_5 D_w \gamma_5 = D_w^\dagger$ it can be shown that

$$\gamma_5 D + D \gamma_5 = a D \gamma_5 D \quad \text{GW relation with } R=1/2$$

no $\mathcal{O}(a^2)$ -discretization errors

large numerical cost due to evaluation of $(AA^\dagger)^{-1/2}$
(polynomial approximation), especially for gauge
field configurations which change topology

costs $> 100\times$ costs of Wilson formulations

Constructing chiral fermion action II

Domain wall fermions : introduce the fictitious 5th dimension of extent N_s :

$$S_{dwf} = - \sum_{x,y,s,s'} \bar{\psi}_{y,s'}^5 (D_{x,y} \delta_{s,s'} + D_{s,s'} \delta_{x,y}) \psi_{x,s}^5 \text{ Shamir (1993)}$$

$$D_{x,y} = \frac{1}{2} \sum_{\mu} ((1 + \gamma_{\mu}) U_{x,\mu} \delta_{x+\mu,y} + (1 - \gamma_{\mu}) U_{x,\mu}^{\dagger} \delta_{x-\mu,y} + (M - 4) \delta_{x,y})$$

$$D_{s,s'} = (P_R \delta_{s+1,s'} + P_L \delta_{s-1,s'} - \delta_{s,s'}) + (P_R \delta_{1,s'} + P_L \delta_{N_s-2,s'}) - (m P_L \delta_{N_s-1,s'} + m P_R \delta_{0,s'} + \delta_{0,s'} + \delta_{N_s-1,s'})$$

$$P_{R,L} = (1 \pm \gamma_5) / 2$$

$$\psi_x = \frac{1 + \gamma_5}{2} \psi_{x,0}^5 + \frac{1 - \gamma_5}{2} \psi_{x,N_s-1}^5$$

$N_s \rightarrow \infty$ two chiral fermions bounded to the 4d walls

$$m_q = m M (M - 2)$$

costs = N_s × costs of Wilson formulations $N_s = 16 - 32$

Extensively used in numerical simulations : (see P. Boyle, 2007 for review)

QCD at finite baryon density

The naive continuum prescription of introducing chemical potential by adding a term $\mu \int d^4x \bar{\psi} \gamma_0 \psi$ does not work !

$$S = a^3 \sum_x \left[ma \bar{\psi}_x \psi_x + \mu a \bar{\psi}_x \gamma_0 \psi_x + \frac{1}{2} \sum_{\mu} (\bar{\psi}_x \gamma_{\mu} \psi_{x+\mu} - \bar{\psi}_{x-\mu} \gamma_{\mu} \psi_x) \right]$$

↓

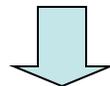
$\epsilon(\mu) \sim \mu^2/a^2$ instead of $\epsilon(\mu) \sim \mu^4$

The correct prescription is

$$U_0(x) \rightarrow e^{\mu a} U_0(x), \quad U_0^{\dagger}(x) \rightarrow e^{-\mu a} U_0^{\dagger}(x)$$

Hasenfratz, Karsch, PLB 125 (83) 308

$$S = (\bar{\psi}_x e^{\mu a} U_0(x) \psi_{x+0} - \bar{\psi}_x e^{-\mu a} U_0^+(x) \psi_{x-0}) + \sum_{x,i} \eta_i(x) (\bar{\psi}_x U_i(x) \psi_{x+i} - \bar{\psi}_x U_i^+(x) \psi_{x-i}) + am \sum_x \bar{\psi}_x \psi_x$$



$\det M$ is complex => sign problem $\det M \exp(-S)$ cannot be a probability

Meson correlators and Wilson loops

Meson states are created by quark bilinear operators:

$$J(x, y; \tau) \bar{\psi}(\tau, x) \Gamma \mathcal{U}(x, y) \psi(\tau, y)$$

↑
Fixes the quantum number of mesons, Γ is one of the Dirac matrices

Most often one considers point operators $x=y$ and their correlation function:

$$G(\tau) = \langle J(\tau) J^\dagger(0) \rangle = \sum_{n=1}^{\infty} f_n^2 e^{-M_n \tau}, \quad f_n = | \langle 0 | J | n \rangle |$$

↑
decay constant

$$\tau \rightarrow \infty : G(\tau) \simeq f_1 e^{-M_1 \tau}$$

Consider static quarks :

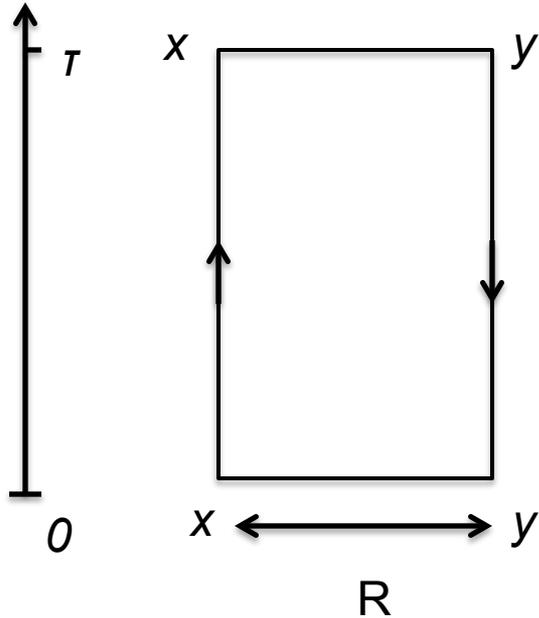
$$(-i\partial_\tau - gA_0(\tau, x)) \psi(\tau, x) = 0$$

$$\text{formal solution } \psi(\tau, x) = \mathcal{P} \exp \left(ig \int_0^\tau d\tau' A_0(\tau', x) \right) \psi(0, x) = L(\tau; x) \psi(0, x)$$

$$\text{lattice : } L(\tau; x) = \prod_{x_0=0}^{\tau} U_0(x, x_0)$$

Static meson correlation function functions after integrating out the static quark fields:

$$G(\tau) = \langle J(\tau)J^\dagger(0) \rangle = \text{Tr}[L(\tau; x)\mathcal{U}(x, y)L^\dagger(\tau; y)\mathcal{U}^\dagger(x, y)] = W_C(\tau, R)$$



$$W_C(\tau, R) = \sum_{n=1}^{\infty} c_n e^{-E_n(R)\tau},$$

Static quark anti-quark potential

$\tau \rightarrow \infty$ ground state $E_1 = V(R)$ dominates

$$V(R) = -\alpha/R + \sigma R$$

confinement

String tension

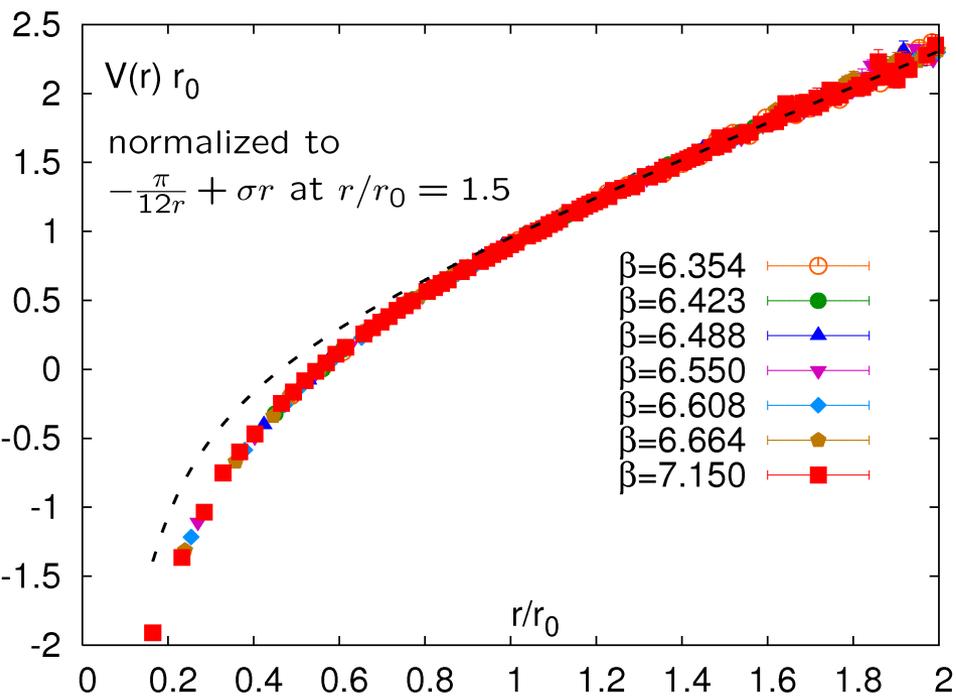
$$W_C(\tau, R) = \exp(-\sigma R\tau),$$

area law for large R and τ

$n=2$ and larger : hybrid potentials

Numerical results on the potentials

Static quark anti-quark potential

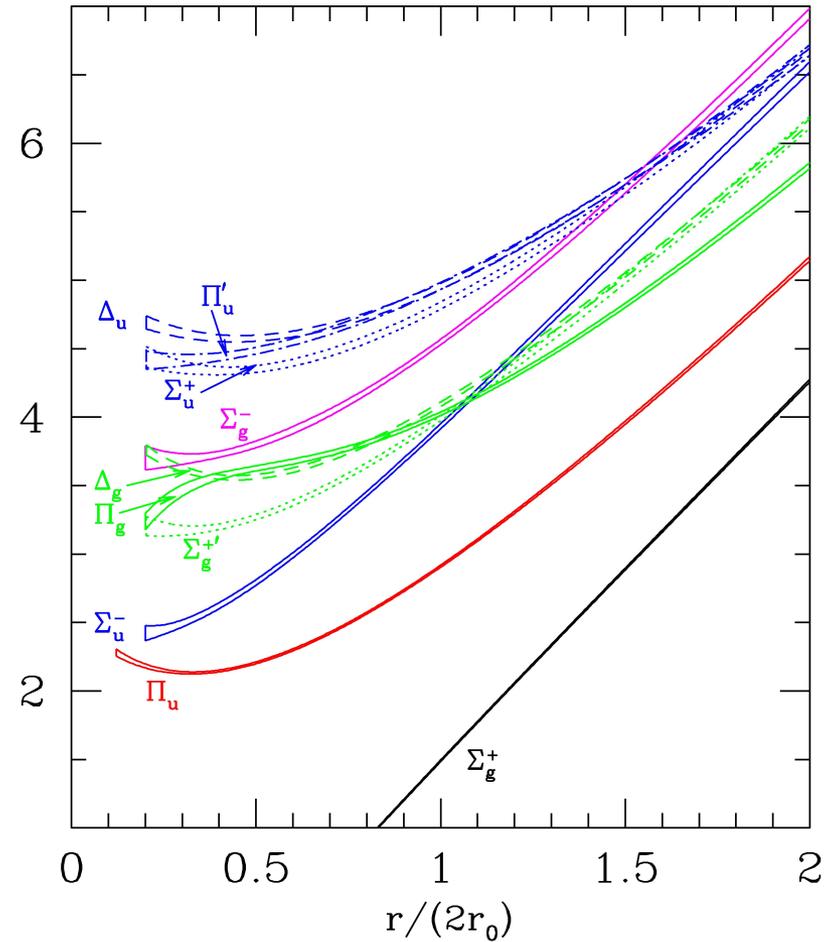


$\sqrt{\sigma} \simeq 470 \text{ MeV}$

$\left(r^2 \frac{dV_{q\bar{q}}(r)}{dr} \right)_{r=r_0} = 1.65, \quad r_0 = 0.468(4) \text{ fm}$

↑
Sommer scale

Hybrid potentials



Scale setting in lattice QCD and continuum limit

Hadron masses in lattice QCD are dimensionless: $m = m_{phys} a$

Continuum limit: $a \rightarrow 0$

$m \rightarrow 0 \Rightarrow \xi = 1/m \rightarrow \infty$ divergent correlation length \Rightarrow second order phase transition (universality)

Physics does not depend on the details on the regularization, e.g. dimensionless ratios :

$$r_0 m, \quad m/\sqrt{\sigma}$$

Should be independent of the lattice spacing

The gauge coupling constant depends on the lattice spacing:

$$a \rightarrow 0 \Rightarrow g \rightarrow 0 \quad (\beta = 6/g^2 \rightarrow \infty) \quad a\Lambda = R(g) = (\beta_0 g)^{-\beta_1/(2\beta_0)} e^{-\frac{1}{2\beta_0 g^2}}$$

There are corrections to this asymptotic expression $\sim g^{2n} a^{2n}$, $n = 1, 2, \dots$

$$a\Lambda = c_0 R(g) (1 + c_2 g^2 R^2(g) + c_4 g^4 R^4(g) + \dots)$$

Allton Ansatz

We need to tune the bare quark mass m_{bare} on lattice to keep the physical ratio $m_{meson}/\sqrt{\sigma}$ fixed for each g :

$\Rightarrow m_{bare} = m_{bare}^{LCP}(g)$ defining the line of constant physics (LCP).

Homework

Show that the naive lattice fermion propagator

has the form:

$$\frac{-i \sum_{\nu} \gamma_{\nu} \hat{p}_{\nu} + m}{\sum_{\nu} \hat{p}_{\nu}^2 + m^2} \quad \hat{p}_{\nu} = \frac{1}{a} \sin(p_{\nu} a)$$

Show that the Wilson action

$$S_{Wilson} = \beta \sum_x \left(1 - \frac{1}{3} \text{Re } \text{tr} U_P(x) \right), \quad \beta = \frac{6}{g^2}$$

in the $a \rightarrow 0$ limit gives the Yang-Mills action
up to corrections $\sim a^2$