The background features several large, overlapping, semi-transparent swirls in shades of purple, green, and blue. Scattered throughout are numerous small, yellow, triangular shapes, some pointing towards the center and others pointing outwards, creating a dynamic and energetic feel.

# **From Quarks to Nuclei to Compact Stars and Back**

**Formulating nuclear  
physics from first  
principles**

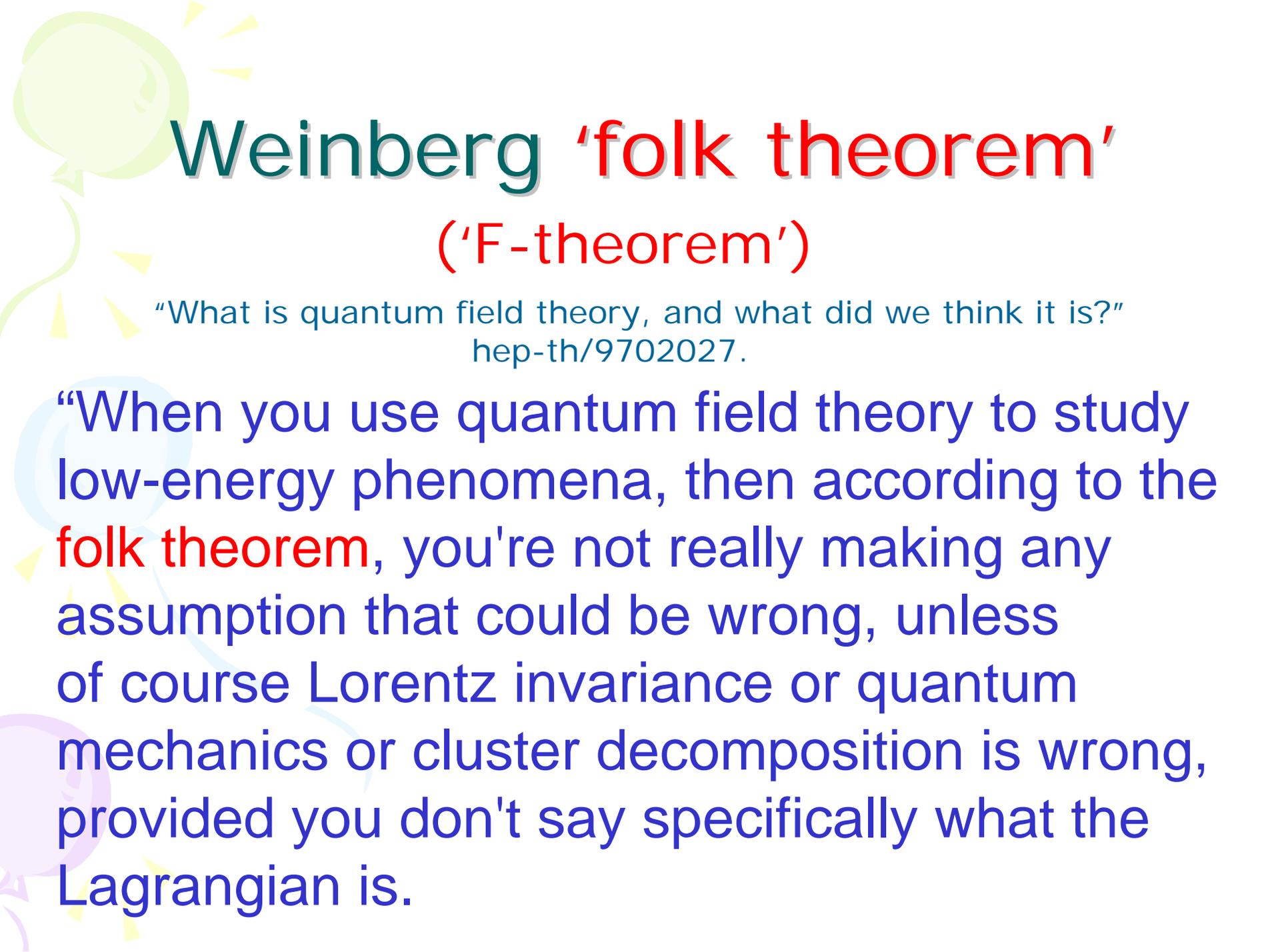
Mannque Rho, Saclay

# Chiral Nuclear Dynamics II

From Quarks to Nuclei  
to Compact Stars

Mannque Rho



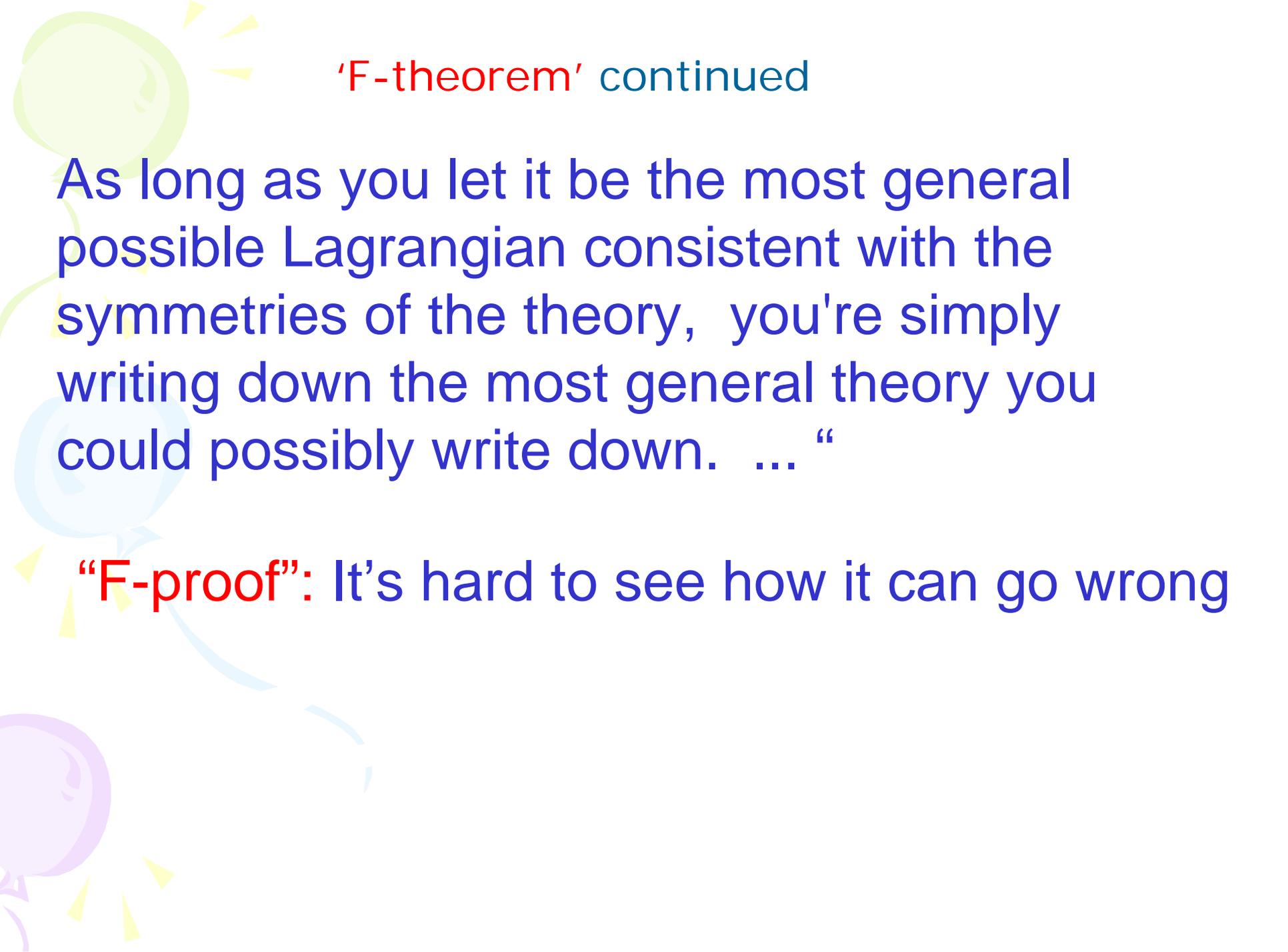


# Weinberg 'folk theorem'

## ('F-theorem')

"What is quantum field theory, and what did we think it is?"  
hep-th/9702027.

"When you use quantum field theory to study low-energy phenomena, then according to the **folk theorem**, you're not really making any assumption that could be wrong, unless of course Lorentz invariance or quantum mechanics or cluster decomposition is wrong, provided you don't say specifically what the Lagrangian is.



## 'F-theorem' continued

As long as you let it be the most general possible Lagrangian consistent with the symmetries of the theory, you're simply writing down the most general theory you could possibly write down. ... “

“F-proof”: It's hard to see how it can go wrong

# 'F-Corollary'

“Effective field theory was first used in this way to calculate processes involving soft  $\pi$  mesons, that is,  $\pi$  mesons with energy less than about  $2\pi F_\pi \sim 1200$  MeV. The use of effective quantum field theories has been extended more recently to nuclear physics where although nucleons are not soft they never get far from their mass shell, and for that reason can be also treated by similar methods as the soft pions.



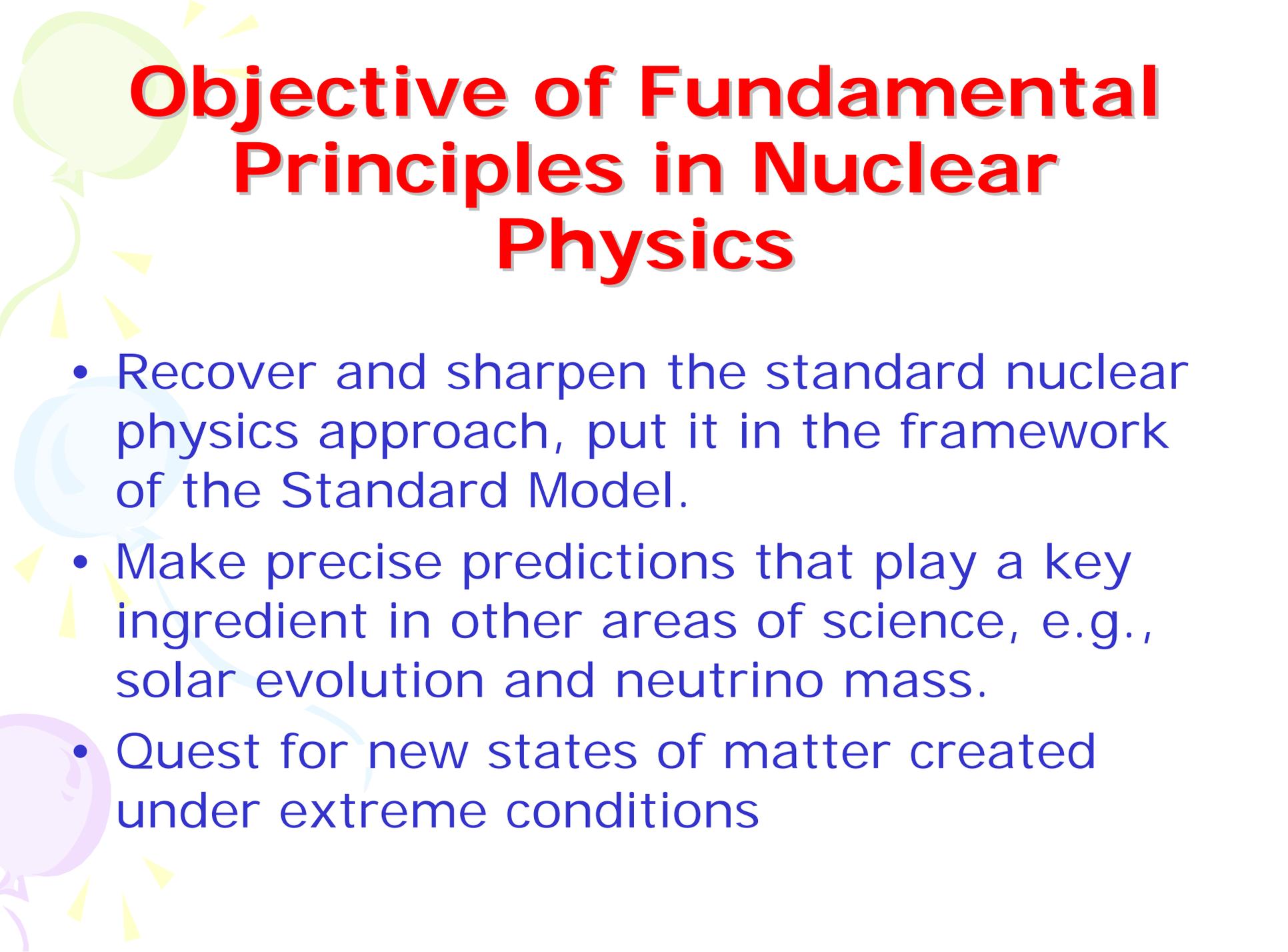
## 'F-Corollary' continued

Nuclear physicists have adopted this point of view, and I gather that they are happy about using this new language because it allows one to show in a fairly convincing way that what they've been doing all along is the correct first step in a consistent approximation scheme.”



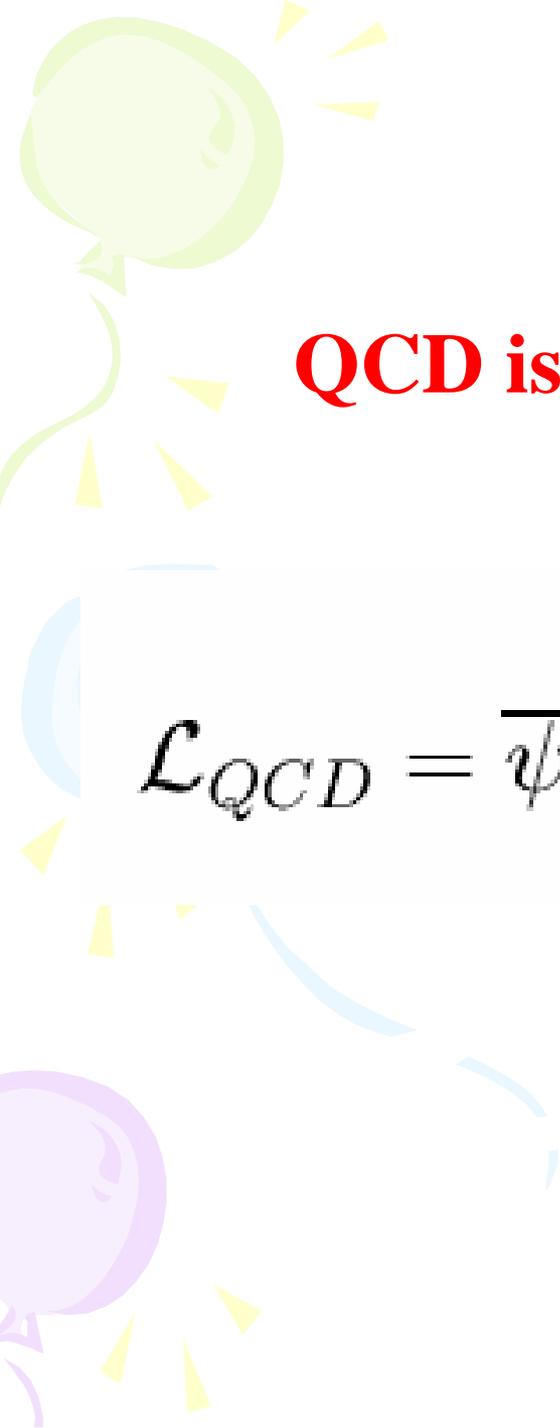
# Outline

- 1970's – 1980's: **Cheshire cat**, confinement - deconfinement, MIT bag  $\leftrightarrow$  Stony Brook "little bag"  $\leftrightarrow$  skyrmions
- 1990's: Weinberg "**F-theorem**": quarks to hadrons to nuclei to dense/hot matter to neutron stars and black holes
- 2000's: Holographic duality, back to Cheshire cat.

The slide features a decorative background on the left side with a light green balloon at the top, a light blue balloon in the middle, and a light purple balloon at the bottom. Yellow streamers and triangular shapes are scattered around the balloons. The main title is centered at the top in a large, bold, red font with a white drop shadow.

# Objective of Fundamental Principles in Nuclear Physics

- Recover and sharpen the standard nuclear physics approach, put it in the framework of the Standard Model.
- Make precise predictions that play a key ingredient in other areas of science, e.g., solar evolution and neutrino mass.
- Quest for new states of matter created under extreme conditions



## QCD is the First Principle

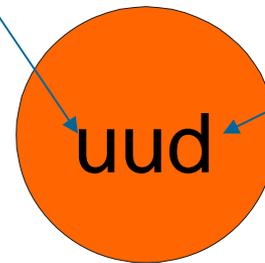
$$\mathcal{L}_{QCD} = \bar{\psi}(i\gamma_{\mu}D^{\mu} - M)\psi - \frac{1}{2}\text{Tr}G_{\mu\nu}G^{\mu\nu}$$

# QCD Nucleon

MIT Bag (1970's)

Proton

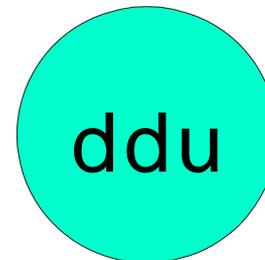
*“Up” quark*



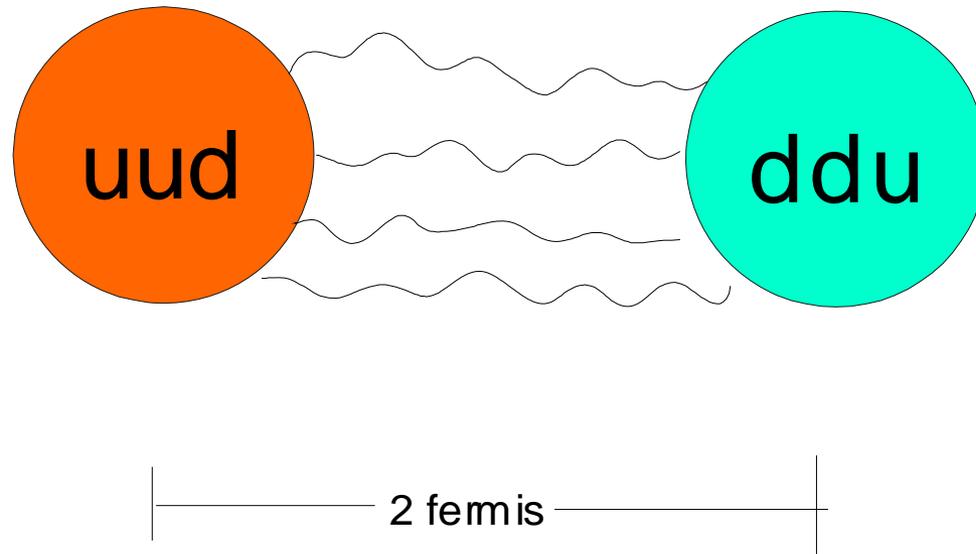
*“Down” quark*

$R \sim 1 \text{ fm}$

Neutron



# DEUTERON



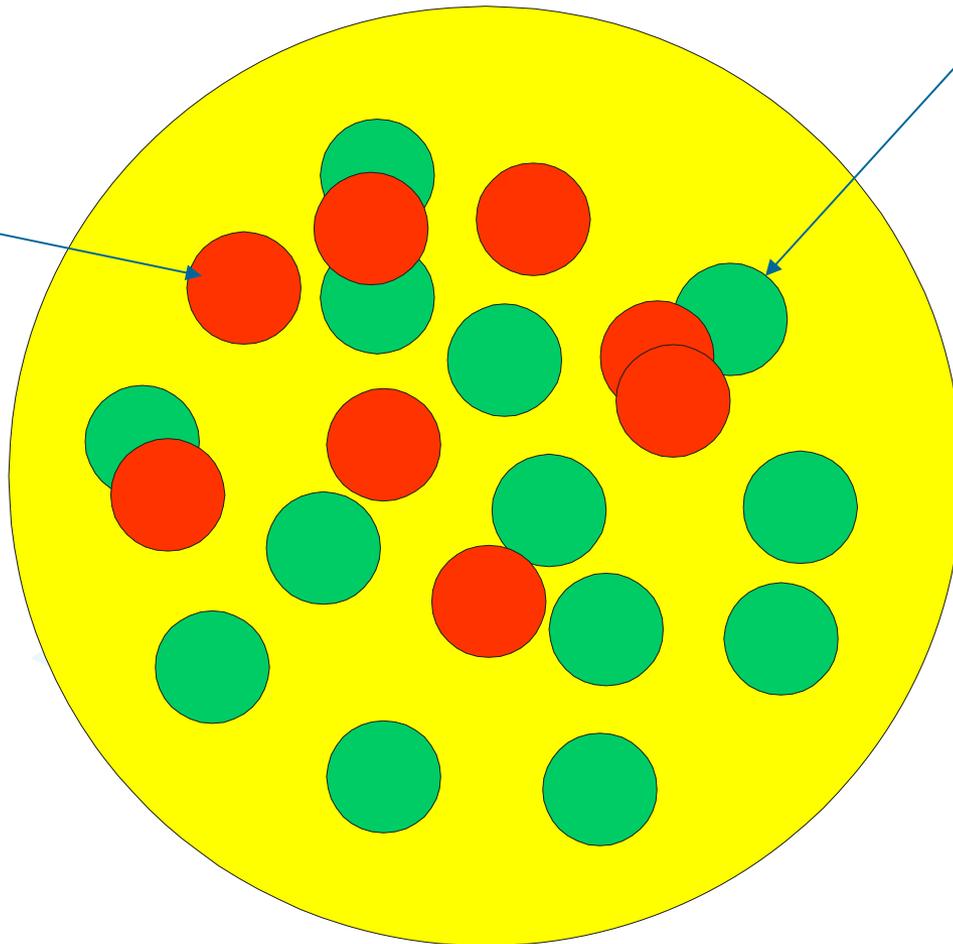
Do the bags of  $R \sim 1$  fm overlap?

# Heavy Nucleus

*Grapefruits in the salad bowl !!!!???*

PROTON

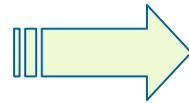
NEUTRON



***SIZE  
CRISIS?***

# Size Problem

MIT bags

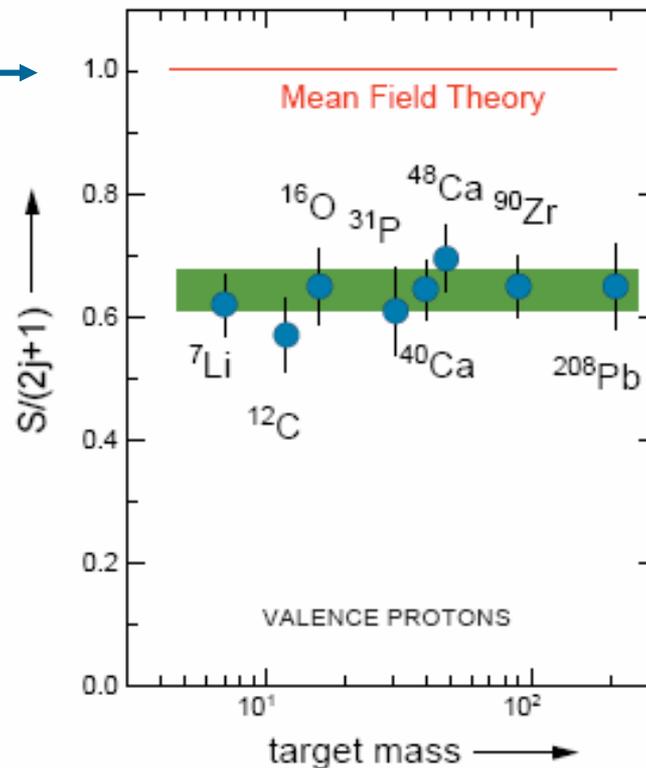


pea soup in  $^{208}\text{Pb}$  ?

But  
shell model

Spectroscopic  
Factor  $\sim$  single  
particleness

Something  
amiss



# A Way out

Cheshire cat



“Origin” of the proton mass

# Cheshire Cat

*Alice in the  
wonderland*

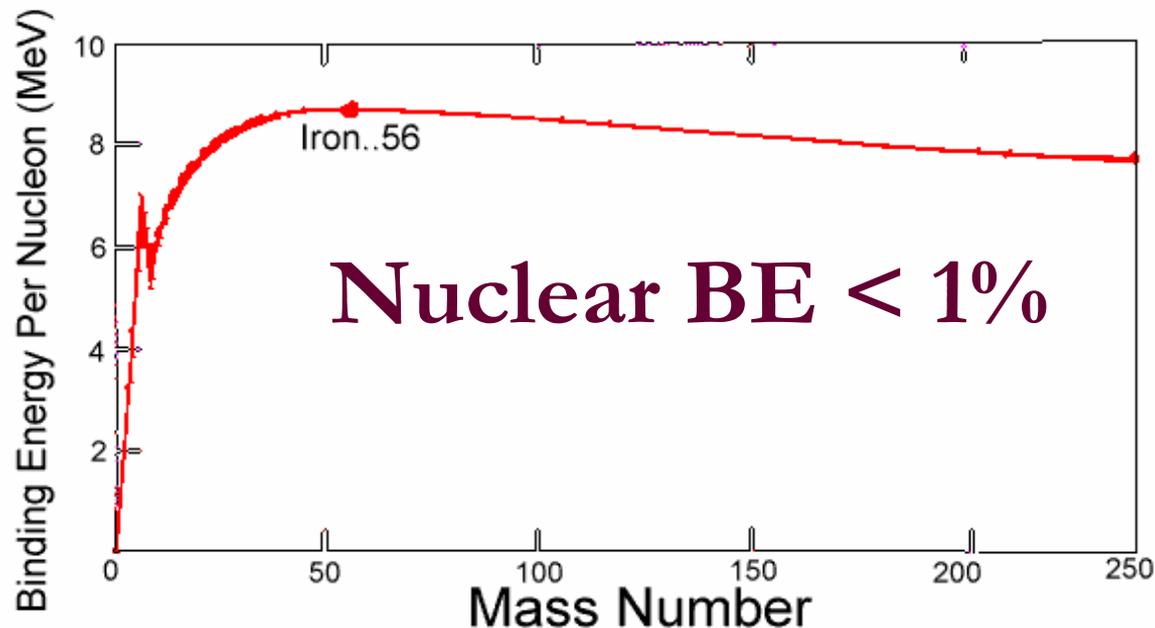


# Where does the mass come from?

For Molecules, Atoms, Nuclei

Constituents: protons, neutrons, electrons

Masses = sum of masses of constituents  
+ tiny binding energy





# A 'Mass' Problem

- Proton/Neutron Mass = 938/940 MeV

Constituents: Quarks and gluons

- Proton = uud ; Neutron = udd

Sum of "current-quark" masses  $\approx$  10 MeV

*Where do  $\sim$  99% of the mass come from?*

# QCD Answer

- QCD on lattice explains the proton mass within  $\sim 10\%$ .

F. Wilczek

*"Energy stored in the motion of the (nearly) massless quarks and energy in massless gluons that connect them"*

Proton mass  $\approx 1$  GeV

*"Mass without mass"*

- Technically, "chiral symmetry spontaneously broken ( $\chi$ SB)"

à la Nambu/Goldstone

# Order Parameter

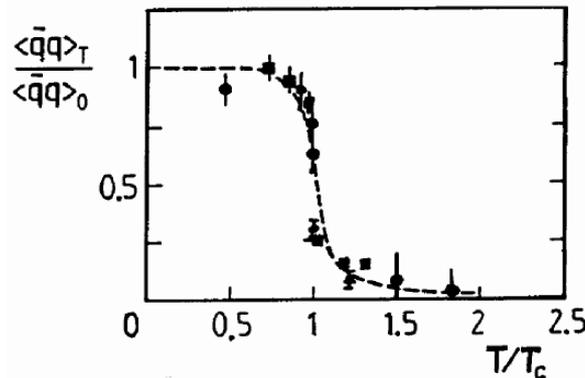
Quark condensate:  $\langle \bar{q}q \rangle$

$\neq 0$   $\chi S$  broken

$= 0$   $\chi S$  restored

- $\langle \bar{q}q \rangle \approx - (0.23 \pm 0.03 \text{ GeV})^3 \rightarrow$  Proton mass  $\approx 1 \text{ GeV}$
- Mass disappears when  $\langle \bar{q}q \rangle \rightarrow 0$  ?

**Lattice  
QCD**



# Stony Brook “Little Bag”

G.E. Brown and MR 1979

Shrink the bag to  $\sim 1/3$  fm from  $\sim 1$  fm

● How?

$\chi_{SB} \rightarrow$  pions as (pseudo)Goldstone bosons



● This reasoning was *not* quite correct!

# Enter Cheshire Cat in *Infinite Hotel*

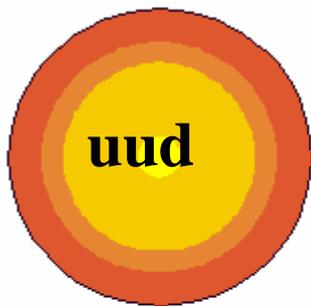
Nadkarni, Nielsen and Zahed 1985

- Bag radius (confinement radius) is a *gauge* (“redundant”) degree of freedom
- ∴ Low-energy physics should not depend upon the bag or confinement size
- R can be shrunk to zero → skyrmion

Quarks/gluons  $\longleftrightarrow$  “Smile of the Cheshire Cat”

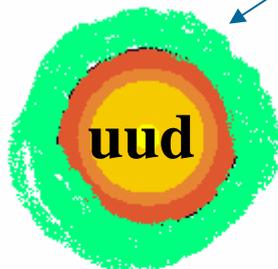


$\chi$ SB & anomaly



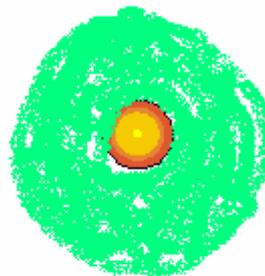
MIT bag

=



"cloudy" bag

=



SB little bag

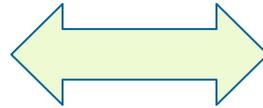
=



skyrmion

Nambu/Goldstone  
(Pion) Cloud

*Equivalent description  
of the proton*

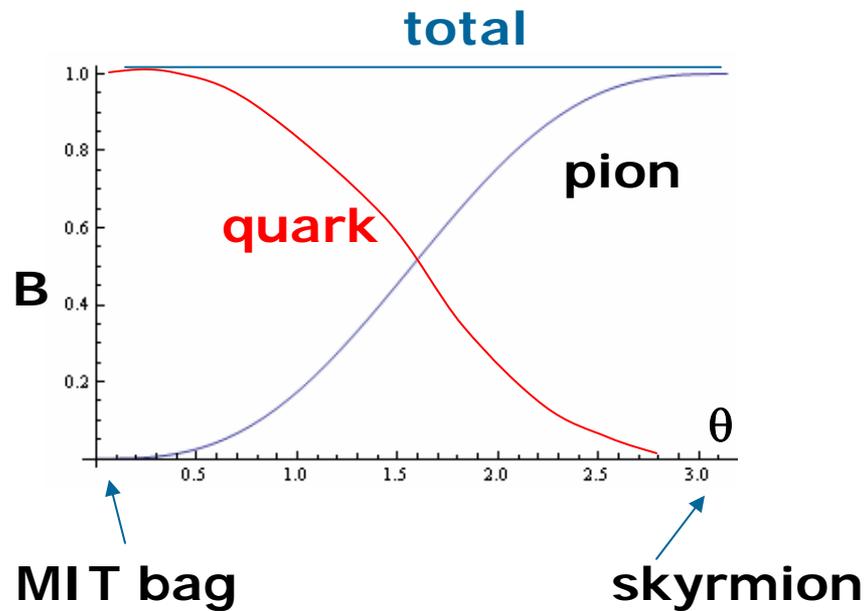


MIT

Stony Brook

# Baryon Number

❖ Topological invariant

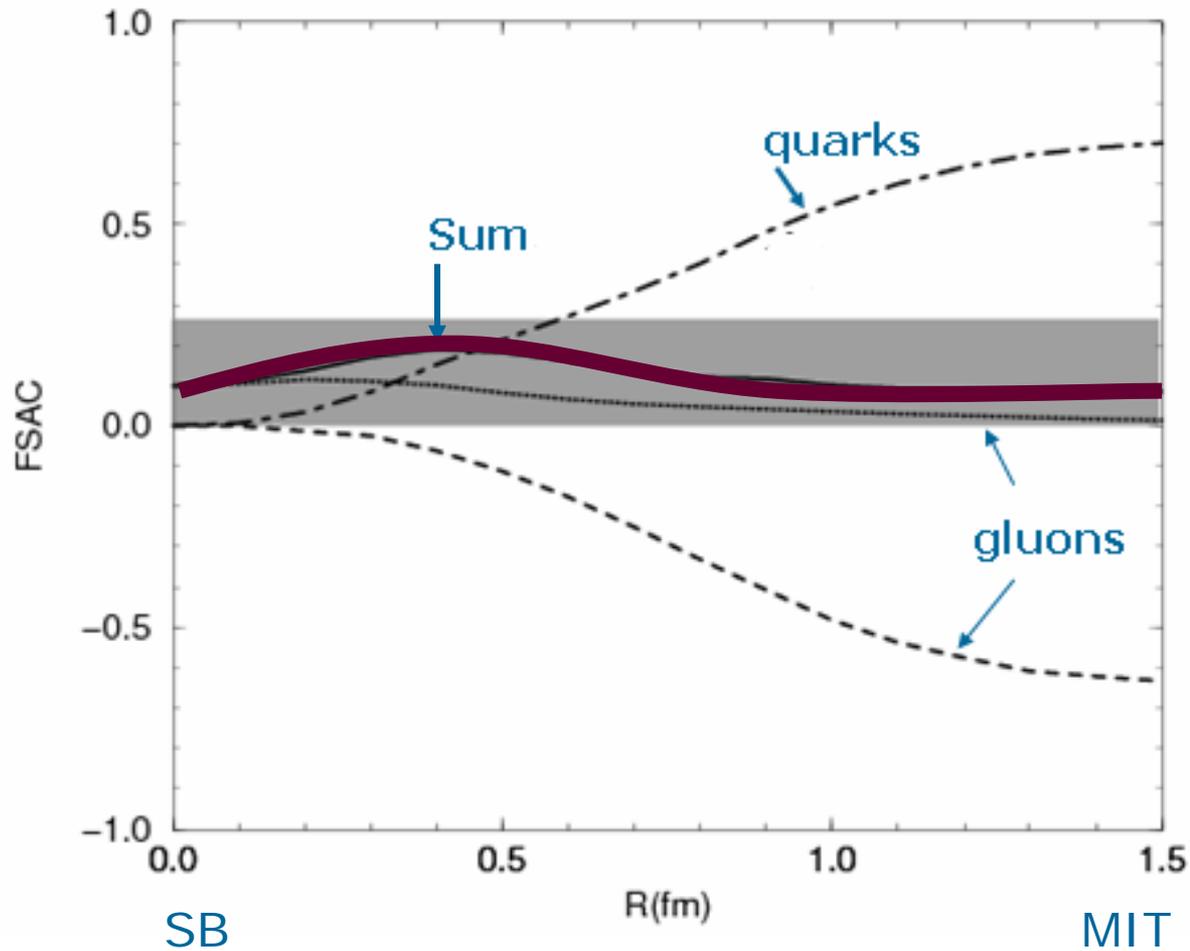


$$L_{\text{QCD}} = \bar{\psi}(i\gamma_{\mu}D^{\mu} - m)\psi - \frac{1}{2}\text{Tr}G_{\mu\nu}G^{\mu\nu}$$

$$L_{\text{EFT}} = \frac{f_{\pi}^2}{4}\text{Tr}(\partial_{\mu}U\partial^{\mu}U^{\dagger}) + \dots$$
$$U = \exp(i\tau \cdot \pi / f_{\pi})$$

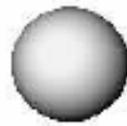
# $g_A^0 \sim$ "Proton spin"

Non-topological ~ dynamical

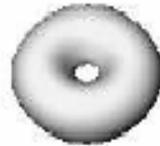


# Nuclei as skyrmions

Manton, Sutcliffe et al 2008



1 :  $O(3)$



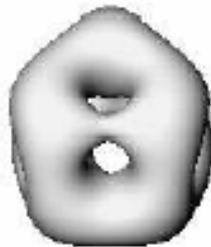
2 :  $D_{\infty h}$



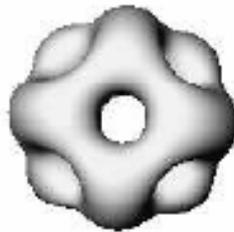
3 :  $T_d$



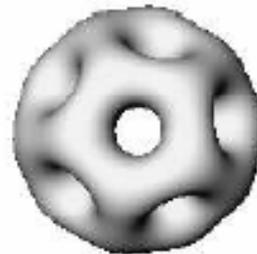
4 :  $O_h$



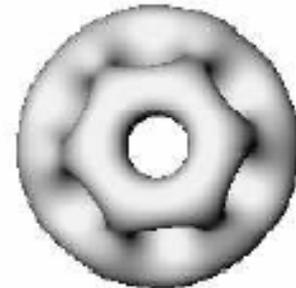
5 :  $D_{2d}$



6 :  $D_{4d}$



7 :  $Y_k$



8 :  $D_{6d}$

Classical, need to be quantized (in progress)

# 'F-theorem' applied to nuclei

Relevant degrees of freedom: Low-mass hadrons

$\pi$  (140),  $\rho$  (770),  $\omega$  (780), ...,  $N$  (940)

• For  $E \ll m_\pi$  (140)  $\ll m_N$  (940)

$\mathcal{L}_N = N^\dagger (i\partial_t + \nabla^2/2M) N + c(N^\dagger N)^2 + \dots$  "Pionless Lagrangian"  
Local field galilean invariance etc.

• For  $E \sim m_\pi \ll m_N$

$$\mathcal{L} = \mathcal{L}_N + \mathcal{L}_\pi + \mathcal{L}_{\pi N}$$

$$\mathcal{L}_\pi = (f_\pi^2/4) \text{Tr}(\partial_\mu U \partial^\mu U^\dagger) + \dots \quad U = \exp(2i\pi/f_\pi)$$

Chiral invariance, Lorentz invariance ..



# Strategy Chiral Lagrangian

- ❖ Pions play a crucial role à la Weinberg
- ❖ Applicable for  $E < m_\rho = 770 \text{ MeV}$
- ❖ Match to highly sophisticated 'standard nuclear physics approach' refined since decades:



Weinberg F-corollary " ... *it allows one to show in a fairly convincing way that what they've been doing all along is the correct first step in a consistent approximation scheme*"

1990 – 2000 : QCD to EFT of nuclei

# How does it fare with Nature?

- *Parameter free calculations*

accurate to better than 97%

- ❖ Thermal  $n+p \rightarrow d+\gamma$ :

$$\sigma^{\text{th}} = 334 \pm 2 \text{ mb} \quad (\text{exp: } 334.2 \pm 0.5 \text{ mb})$$

- ❖  $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + {}^3\text{H}$

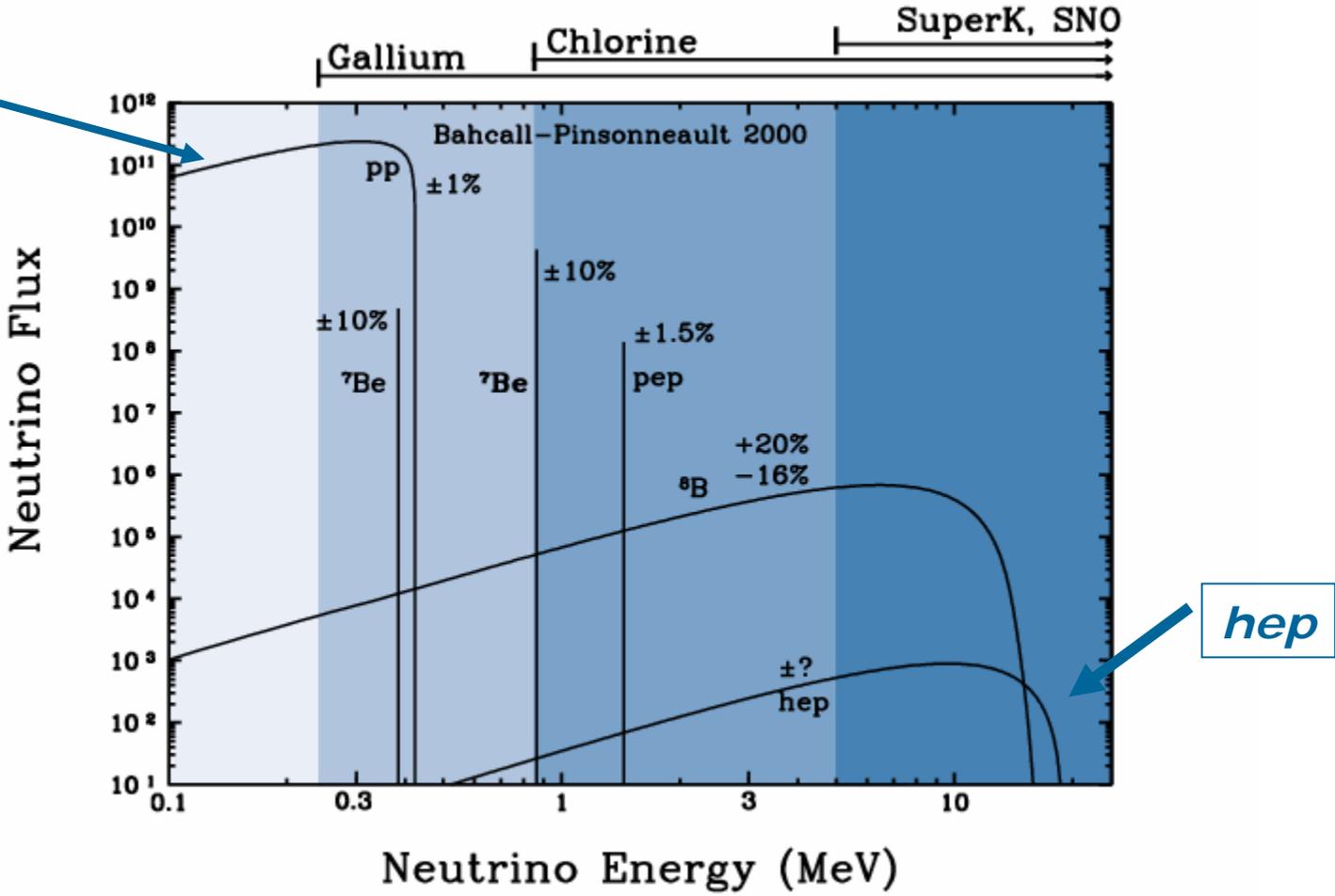
$$\Gamma^{\text{th}} = 1499 \pm 16 \text{ Hz} \quad (\text{exp: } 1496 \pm 4 \text{ Hz})$$

- ❖  $\mu^{\text{th}}({}^3\text{H}) = 3.035 \pm 0.013 \quad (\text{exp: } 2.979 \pm \dots)$

$$\mu^{\text{th}}({}^3\text{He}) = -2.198 \pm 0.013 \quad (\text{exp: } -2.128 \pm \dots)$$

- *Predictions: solar neutrinos*

# Solar Neutrino Spectrum



# Tortuous History of *hep* Theory

1950-2001

## *S*-factor in $10^{-20}$ MeV-b unit

'52 (Salpeter)	<b>630</b>	Single particle model
'67 (Werntz)	3.7	Symmetry group consideration
'73 (Werntz)	8.1	Better wave functions (P-wave)
'83 (Tegner)	4~25	D-state & MEC
'89 (Wolfs)	15.3±4.7	Analogy to ${}^3\text{He}+n$
'91 (Wervelman)	57	${}^3\text{He}+n$ with <b>shell-model</b>
'91 (Carlson et al.)	<b>1.3</b>	VMC with $A_v14$
'92 (Schiavilla et al.)	1.4-3.1	VMC with $A_v28$ (N+ $\Delta$ )
'01 (Marcucci et al.)	9.64	CHH with $A_v18$ (N+ $\Delta$ ) + <i>p</i> -wave

Serious wave “function overlap” problem



# Bahcall's challenge to nuclear physics

J. Bahcall, hep-ex/0002018

“The most important unsolved problem in theoretical nuclear physics related to solar neutrinos is the range of values allowed by **fundamental physics** for the *hep* production cross section”

# Predictions

T.S. Park et al, 2001

## Solar neutrino processes



$$S_{pp} = 3.94 \times (1 \pm 0.0025) \times 10^{-25} \text{ MeV-b}$$



$$S_{hep} = (8.6 \pm 1.3) \times 10^{-20} \text{ keV-b}$$

Awaits experiment!

The background features several large, overlapping, semi-transparent swirls in shades of purple, green, and blue. Scattered throughout are numerous small, yellow, triangular shapes, some pointing towards the center and others pointing outwards, creating a dynamic and energetic feel.

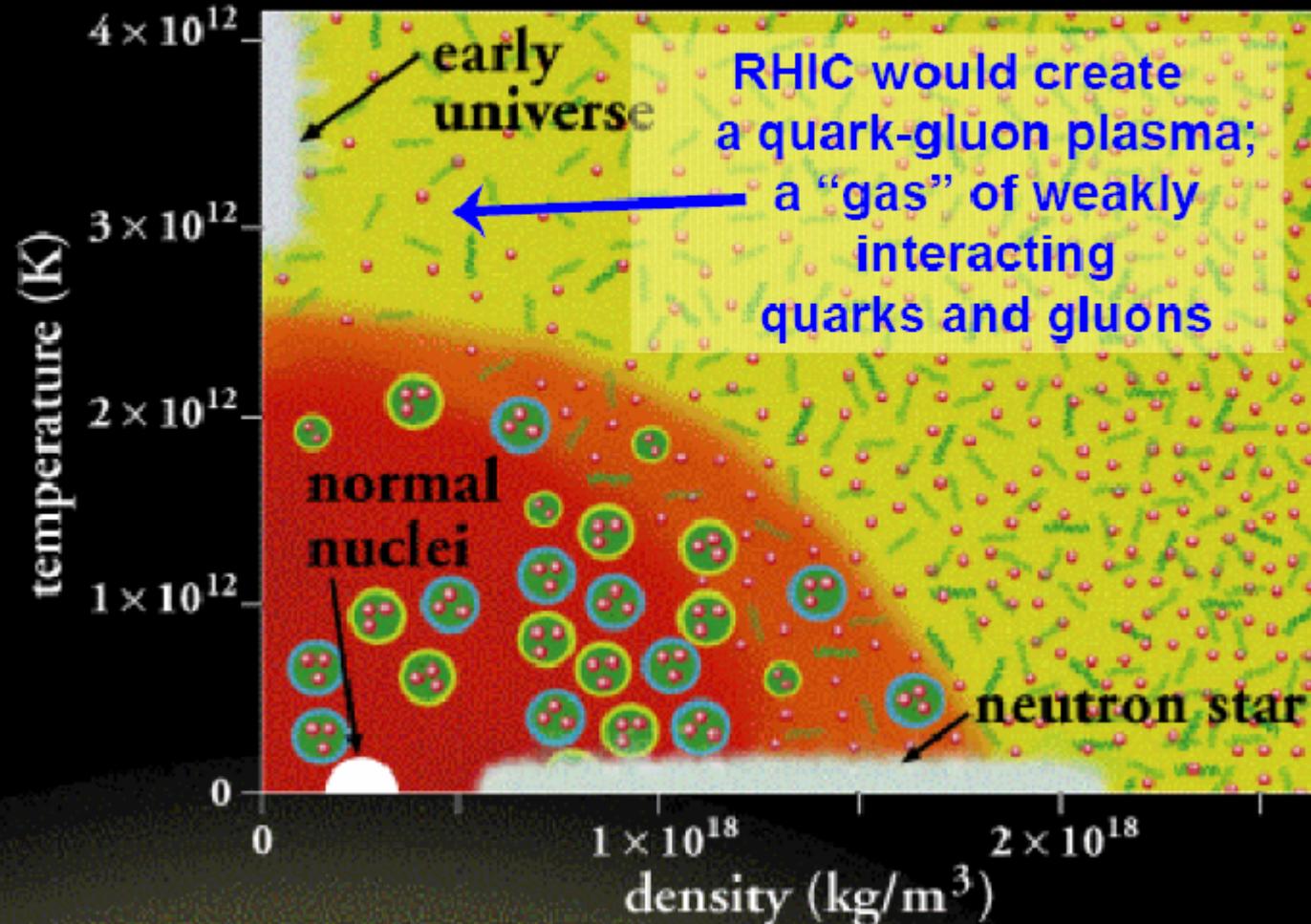
# **Matter under extreme conditions**

**Quest for new states of  
matter – New physics**

# 'Phase diagram'



## Expectations circa 2000





What happens as  
 $\langle \bar{q}q \rangle \rightarrow 0$ ?

One possibility is that other  
light degrees of freedom than  
the pions start figuring

# Hidden/emergent gauge symmetries

- ❖ At very low energies, only pions figure

$$\mathcal{L} = (f_\pi^2/4) \text{Tr}[\partial^\mu U \partial_\mu U^\dagger] + \dots \quad \text{"Current algebra"}$$

$$U = \exp(2i\pi/f_\pi) \in SU(N)_L \times SU(N)_R / SU(N)_{V=L+R}$$

Nucleons emerge as skyrmions

- ❖ As energy increases, exploit "gauge symmetry"

Vector mesons  $\rho, \rho', \dots, \omega, \omega', \dots$  figure with dropping masses à la Brown-Rho

Nucleons emerge as instantons or skyrions

# Gauge symmetry is a redundancy

Famous case: ***charge-spin separation*** of electron

$e(x) \equiv$  electron,  $f(x) \equiv$  "new electron,"  $b(x) \equiv$  "boson"

$$e(x) = b(x) f^+(x)$$

❖ Invariance:  $b(x) \rightarrow e^{ih(x)} b(x)$ ,  $f(x) \rightarrow e^{ih(x)} f(x)$

❖ Endow with a gauge field:  $a_\mu \rightarrow a_\mu + \partial_\mu h(x)$   
"emergent" gauge field

# What we are concerned with

## Emerging $\rho$ (770) (and $\omega$ )

$$U(x) = e^{2i\pi(x)/f_\pi} = \xi_L^+ \xi_R, \quad \xi_{L/R} = e^{i\sigma(x)/f_\sigma} e^{\mp i\pi(x)/f_\pi}$$

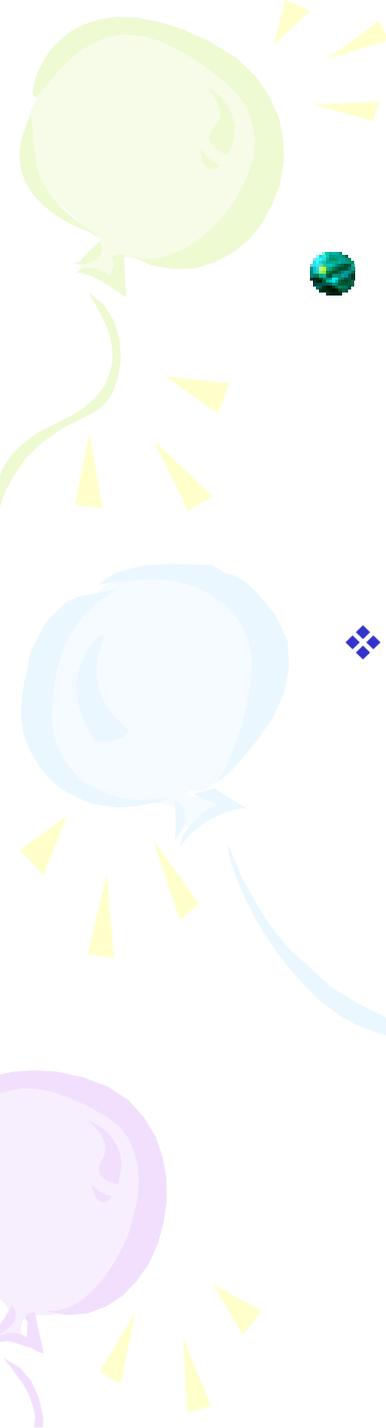
❖ Invariance under  $\xi_{L/R} \rightarrow h(x)\xi_{L/R}$   $h(x) \in SU(N)_{L+R}$

❖ "Emergent" SU(N) gauge fields  $\rho_\mu \rightarrow h(x)(\rho_\mu + i\partial_\mu)h^\dagger(x)$

Excitation energy  $\rightarrow m_\rho \sim 800$  MeV

Bando et al 1986

Harada & Yamawaki 2003



- Emerging “infinite tower” of vectors

$$\rho, \rho', \dots, \omega, \omega', \dots, a_1 \dots$$

$$U(x) = e^{2i\pi/f_\pi} = \Sigma_0 \Sigma_1 \Sigma_2 \bullet \bullet \bullet \Sigma_\infty$$

- ❖ 5-Dimensionally deconstructed QCD (?) (Son & Stephanov 04)

$$S = \int d^4x dz \frac{-1}{2g(z)^2} \sqrt{g} \text{Tr}(F_{AB} F^{AB}) + \bullet \bullet \bullet$$

$$A, B = 0, 1, 2, 3, z$$

- This form descends ALSO from string theory!
- Harada-Yamawaki theory is a truncated HLS theory at the lowest vector mesons  $\rho, \omega$ .

# Matching HLS to QCD

Masayasu Harada &  
Koichi Yamawaki  
Phys. Rep. 381 (2003) 1-233



$$T \rightarrow T_c$$
$$n \rightarrow n_c$$

Wilsonian renormalization group flow

“Vector manifestation (VM)” fixed point

# Vector Manifestation

In the chiral limit

$$As (T, n) \rightarrow (T_c, n_c)$$

$$m_\rho \sim g \sim m_{\text{const quark}} \propto \langle \bar{q}q \rangle \rightarrow 0$$

$$f_\pi = g = m_\rho = m_\pi = 0 \quad \text{“VM fixed point”}$$

$$a = 1$$

All light-quark hadrons lose mass at the VM point

“VM (or BR) scaling”



# VM scaling in nuclei?

Dropping mass tagged to  $\langle \bar{q}q \rangle$   
Precursor in nuclear structure



- ❖ Warburton ratio
- ❖ carbon-14 dating
- ❖ others

# Warburton Ratio $\mathcal{E}_{MEC}$

E. Warburton 91

Warburton defined/measured in nuclei

$$\mathcal{E}_{MEC} \equiv \langle f | A_0 | i \rangle_{\text{exp}} / \langle f | A_0 | i \rangle_{\text{impulse approx}}$$

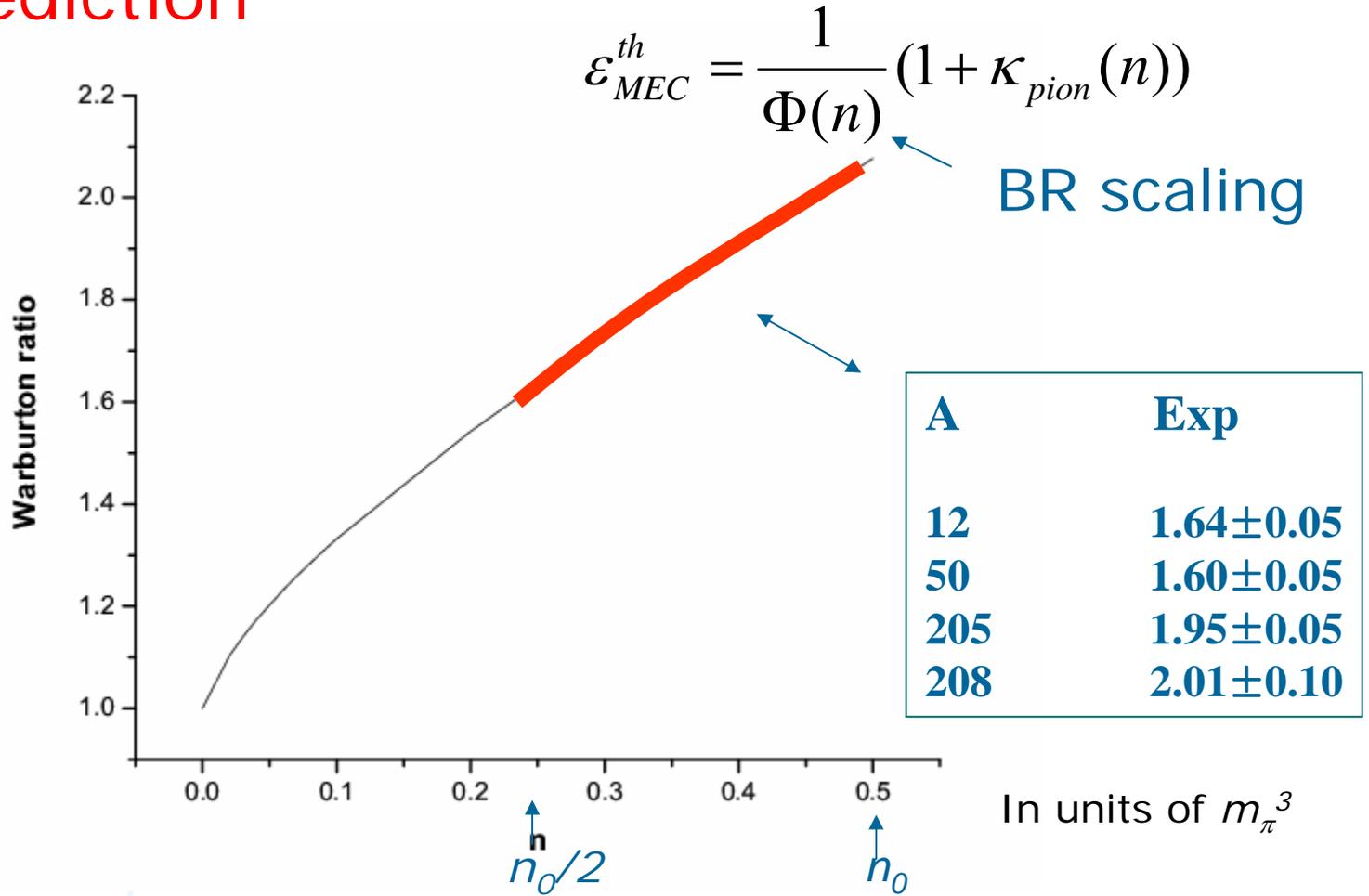
for the weak axial-charge transition

$$A(J^{+/-}) \rightarrow A(J^{-/+}) e \nu \quad \Delta T = 1$$

Found large enhancement in heavy nuclei

$$\mathcal{E}_{MEC} = 1.9 \leftrightarrow 2.1$$

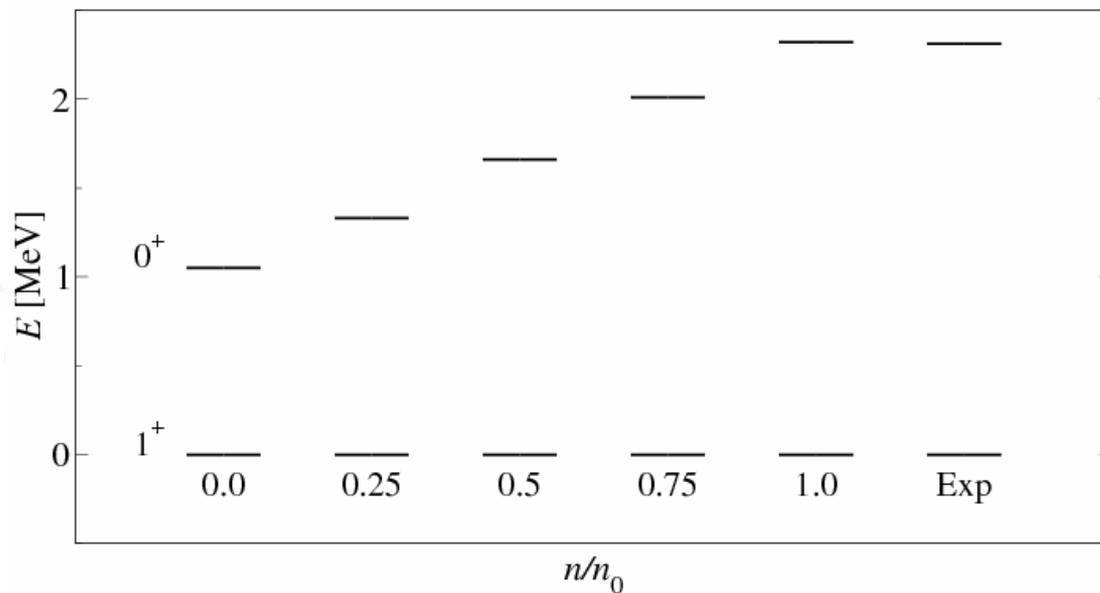
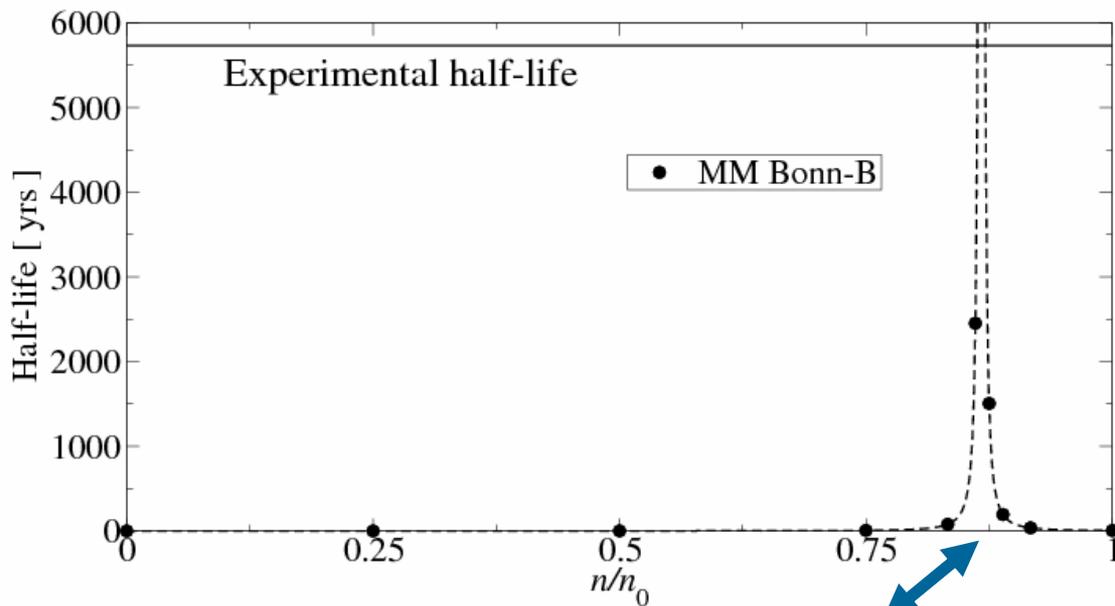
# Prediction



# Carbon-14 dating

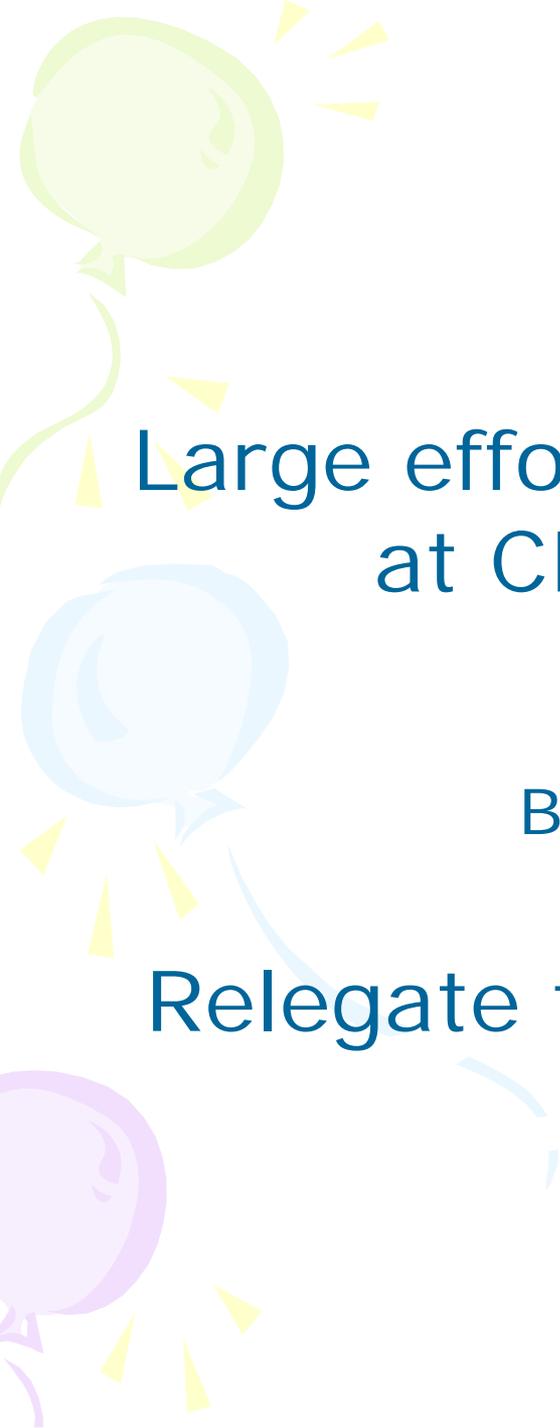
Tensor force  
fine-tuned by  
BR scaling!

Holt et al 2008





Hadronic matter at high temperature and/or density



Large efforts in heavy-ion collisions  
at CERN and RHIC

But no smoking gun signal yet

Relegate to the future



High Density Regime

# Compact stars and Black Holes

Questions:

- ❖ What happens as density increases to that of **compact stars**?
- ❖ Does **hadronic physics** matter for the collapse of stars?
- ❖ Are the plethora of **high density matter** observable?

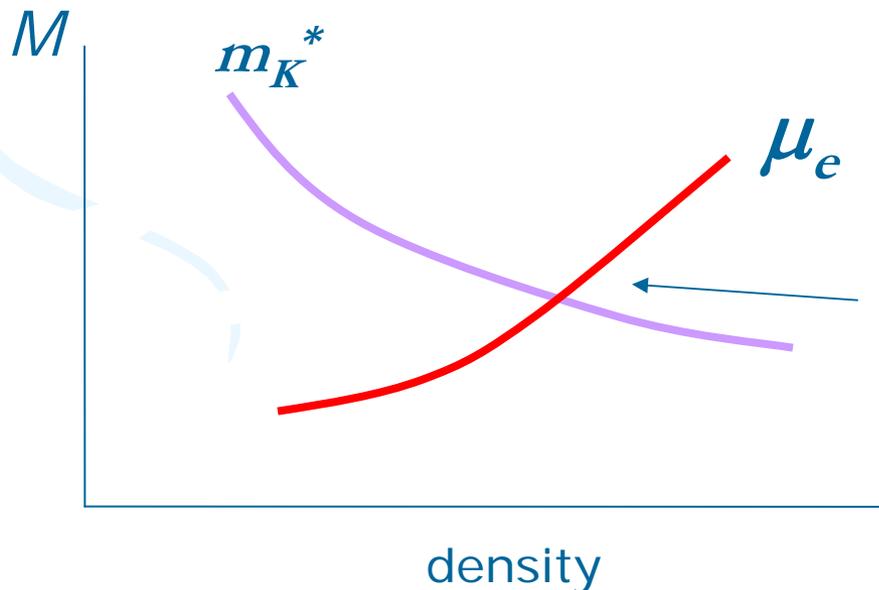
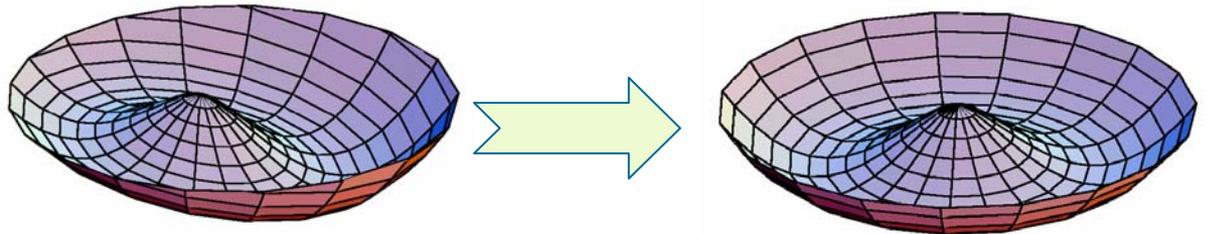
Assertion:

- ❖ The first – and possibly last (?) – phase change is that **kaons condense** at relatively **low density**

# Kaons condense in compact stars

$$m_\pi \sim 0, m_K \sim 1/2 \text{ GeV}$$

Dropping mass  
"restores"  $SU(3)$   
symmetry



$$n_c^K \approx 3n_0 < n_{\langle \bar{q}q \rangle \rightarrow 0}$$

Kaons condense

# Consequences

## A scenario proposed

PRL 101, 091101 (2008)

PHYSICAL REVIEW LETTERS

week ending  
29 AUGUST 2008

### **Kaon Condensation, Black Holes, and Cosmological Natural Selection**

G. E. Brown,<sup>1</sup> Chang-Hwan Lee,<sup>2</sup> and Mannque Rho<sup>3</sup>

<sup>1</sup>*Department of Physics and Astronomy, SUNY, Stony Brook, New York 11794, USA*

<sup>2</sup>*Department of Physics, Pusan National University, Busan 609-735, Korea*

<sup>3</sup>*Institut de Physique Théorique, CEA Saclay, 91191 Gif-sur-Yvette Cédex, France*

(Received 29 February 2008; published 28 August 2008)

It is argued that a well-measured double neutron-star binary in which the two neutron stars are more than 4% different from each other in mass or a massive neutron star with mass  $M \lesssim 2M_{\odot}$  would put in serious doubt or simply falsify the following chain of predictions: (1) a nearly vanishing vector meson mass at chiral restoration, (2) kaon condensation at a density  $n \sim 3n_0$ , (3) the Brown-Bethe maximum neutron-star mass  $M_{\max} \approx 1.5M_{\odot}$ , and (4) Smolin's "cosmological natural selection" hypothesis.

DOI: [10.1103/PhysRevLett.101.091101](https://doi.org/10.1103/PhysRevLett.101.091101)

PACS numbers: 97.60.Jd, 97.60.Lf, 98.80.Bp, 98.80.Qc

- i. A lot of light-mass black holes in the Universe
- ii. "BH-Nothingness" after kaon condensation

# Bethe-Brown Mass

"Stars more massive than  $M_{max}^{BB} \approx 1.6 M_{\odot}$  collapse into black holes"

Why? Because such massive stars have condensed kaons which soften the EOS and trigger instability.

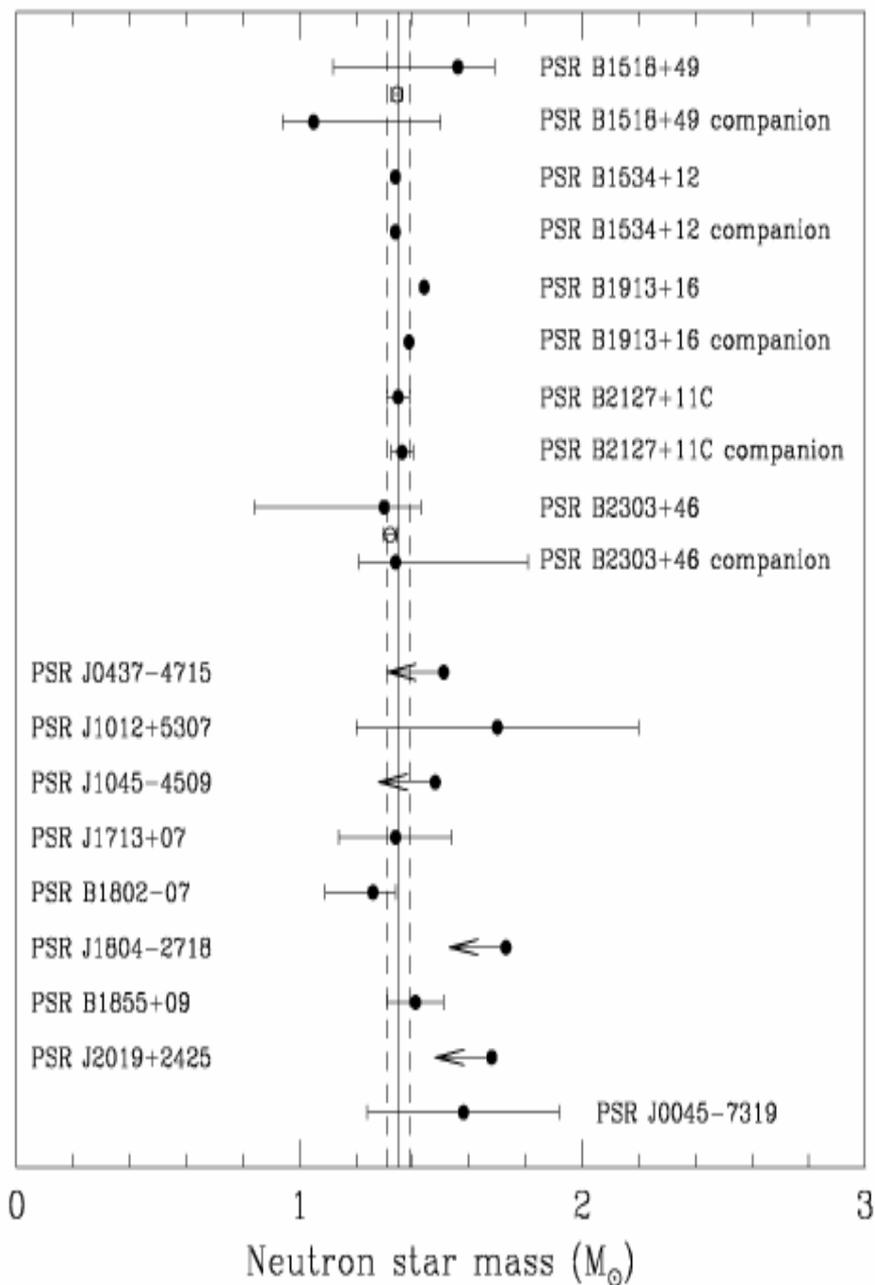
*"No proof. It's a conjecture to be checked by nature ."*

What to do?

- a) "Find a compact star with mass  $M > M_{max}^{BB}$  "
- b) "Find binary pulsars with mass difference  $> 4\%$ "

If found, the following will be invalidated

- a) Maximization of black holes in the Universe
- b) Mechanism for "Cosmological Natural Selection"
- c) Kaon condensation, VM, "hadronic freedom"



### X-ray Binaries

4U1700-37	$2.44^{+0.27}_{-0.27}$	Vela X-1	$1.86^{+0.16}_{-0.16}$
Cyg X-1	$1.78^{+0.23}_{-0.23}$	4U1538-52	$0.96^{+0.19}_{-0.16}$
SMC X-1	$1.17^{+0.16}_{-0.16}$ , $1.05 \pm 0.09$	XTE J2123-058	$1.53^{+0.30}_{-0.42}$
LMC X-4	$1.47^{+0.22}_{-0.19}$ , $1.31 \pm 0.14$	Her X-1	$1.47^{+0.12}_{-0.18}$
Cen X-3	$1.09^{+0.30}_{-0.26}$ , $1.24 \pm 0.24$	2A 1822-371	$> 0.73$

### Neutron Star - Neutron Star Binaries

1518+49	$1.56^{+0.13}_{-0.44}$	1518+49 companion	$1.05^{+0.45}_{-0.11}$
1534+12	$1.3332^{+0.0010}_{-0.0010}$	1534+12 companion	$1.3452^{+0.0010}_{-0.0010}$
1913+16	$1.4408^{+0.0003}_{-0.0003}$	1913+16 companion	$1.3873^{+0.0003}_{-0.0003}$
2127+11C	$1.349^{+0.040}_{-0.040}$	2127+11C companion	$1.363^{+0.040}_{-0.040}$
J0737-3039A	$1.337^{+0.005}_{-0.005}$	J0737-3039B	$1.250^{+0.005}_{-0.005}$
J1756-2251	$1.40^{+0.02}_{-0.03}$	J1756-2251 companion	$1.18^{+0.03}_{-0.02}$

### Neutron Star - White Dwarf Binaries

B2303+46	$1.38^{+0.06}_{-0.10}$	J1012+5307	$1.68^{+0.22}_{-0.22}$
J1713+0747	$1.54^{+0.007}_{-0.008}$	B1802-07	$1.26^{+0.08}_{-0.17}$
B1855+09	$1.57^{+0.12}_{-0.11}$	J0621+1002	$1.70^{+0.32}_{-0.29}$
J0751+1807	$2.20^{+0.20}_{-0.20}$	J0437-4715	$1.58^{+0.18}_{-0.18}$
J1141-6545	$1.30^{+0.02}_{-0.02}$	J1045-4509	$< 1.48$
J1804-2718	$< 1.70$	J2019+2425	$< 1.51$

### Neutron Star - Main Sequence Binaries

J0045-7319	$1.58^{+0.34}_{-0.34}$
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# J0751 + 1807

Nice et al 2005

Observation in neutron star–white dwarf binary of  $2.2 \pm 0.2 m_{\odot}$  led to *pitched activities*

- ❖ strong repulsive N-nucleon forces (with  $N \geq 3$ )
- ❖ crystalline color-superconducting stars
- ❖ etc etc producing ~ one paper a week

This would unambiguously “kill” the BB conjecture

But (!) new analysis in 2007 corrects the 2005 value to  $1.26 + 0.14 / - 0.12!!$

**BB still OK!**

# Summary

- We went to **skyrmions** from quarks
- We went to **nuclei** via skyrmions via F-theorem
- We went to **compact stars** via nuclear matter via hidden local symmetry

- Enter **string theory**:

Sakai and Sugimoto showed (2005) that hadrons at low energy  $E < M_{KK}$  could be described by the 5D action **top-down** from AdS/CFT:

$$S = -\int d^4x dz \frac{1}{4e^2(z)} \text{Tr}[F_{AB}F^{AB}] + \dots + S_{CS}$$

Arises also **bottom-up** from current algebra by "deconstruction"

# Back to Cheshire Cat

Kim & Zahed 2008

Nucleon is an instanton in 5D  $\approx$  a skyrmion in 4D  
In the infinite tower of vector mesons

Hong, Yee, Yi, R 2007; Hashimoto, Sakai, Sugimoto 2008

**First** confirmation of Sakurai's 1960's idea of VD

*EM form factors*

$$F_{\pi}(Q^2) = \sum_{n=0}^{\infty} \frac{g_{\rho_n} g_{\rho_n \pi\pi}}{Q^2 + m_{\rho_n}^2} \approx \frac{1}{1 + Q^2 / m_V^2} \quad \text{"Monopole"}$$

$$F_N(Q^2) = \sum_{n=0}^{\infty} \frac{g_{\rho_n} g_{\rho_n NN}}{Q^2 + m_{\rho_n}^2} \approx \left( \frac{1}{1 + Q^2 / m_D^2} \right)^2 \quad \text{"Dipole"}$$

*Numerically*

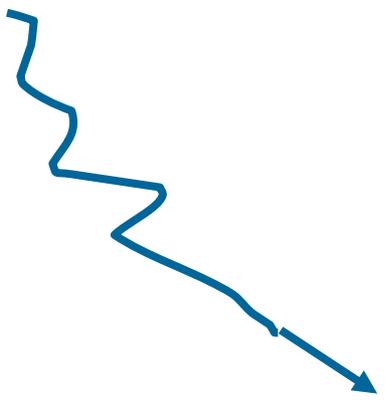
$$m_V \approx m_{\rho} = 0.77 \text{ GeV}$$

$$m_D \approx 0.78 \text{ GeV}$$

} Close to nature!!

A decorative vertical column of three balloons on the left side of the slide. The top balloon is light green, the middle one is light blue, and the bottom one is light purple. Each balloon has a small yellow starburst shape next to it, suggesting light or energy.

# Implications on Heavy ions Compact stars ?

A blue jagged arrow pointing from the top left towards the 'Future' box.

Future



**Thanks for the attention!**